



# AR-V7 Status and CTC Heterogeneity Improve the Prediction of Drug Sensitivity and Patient Outcomes for Taxanes and Approved AR Targeted Therapies

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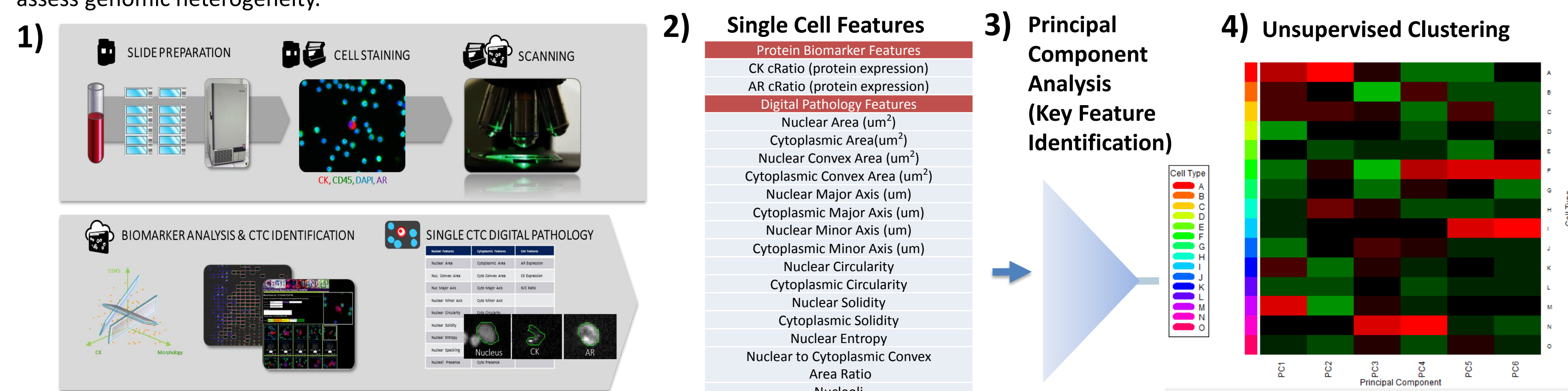
## Background

A critical unmet need in the management of mCRPC is how to use currently approved agents to maximize survival for the individual patient. Outcomes to AR signaling inhibitors such as, abiraterone acetate and enzalutamide (AR Tx), and taxane based chemotherapy, the two most commonly used drug classes, vary with line of therapy and sequence of administration, but cross-sensitivity and resistance are not predictable at the individual patient level when a treatment decision is required.

We recently identified a statistically significant therapy interaction between nuclear AR-V7 protein expression in CTCs and improved OS with Taxanes over AR Tx (HR=0.242, p=0.0350)<sup>1</sup> supporting taxane use for AR-V7+ patients. Similarly, high CTC heterogeneity in mCRPC patients also demonstrated a therapy interaction supporting Taxanes over AR Tx (HR=0.302, p=0.0229)<sup>2</sup>. We studied both markers in a single cohort and related the two biomarkers to clinical outcomes.

## Methods for CTC Detection; Phenotypic, Genomic Characterization, and Heterogeneity Score

191 blood samples from 161 unique patients were analyzed with the Epic Sciences platform. Analysis included digital pathology of 23 discrete phenotypic cell features inclusive of AR and CK expression, and cellular size and shape measures. Single CTCs were characterized, data standardized, features clustered and categorized into 15 phenotypically distinct CTC subtypes. Individual patient samples were then analyzed for the frequency and heterogeneity (Shannon Index) of CTC subtypes and monitored for clinical endpoints. A subset of CTCs (n=741) were individually sequenced and analyzed for clonality and CNV to assess genomic heterogeneity.

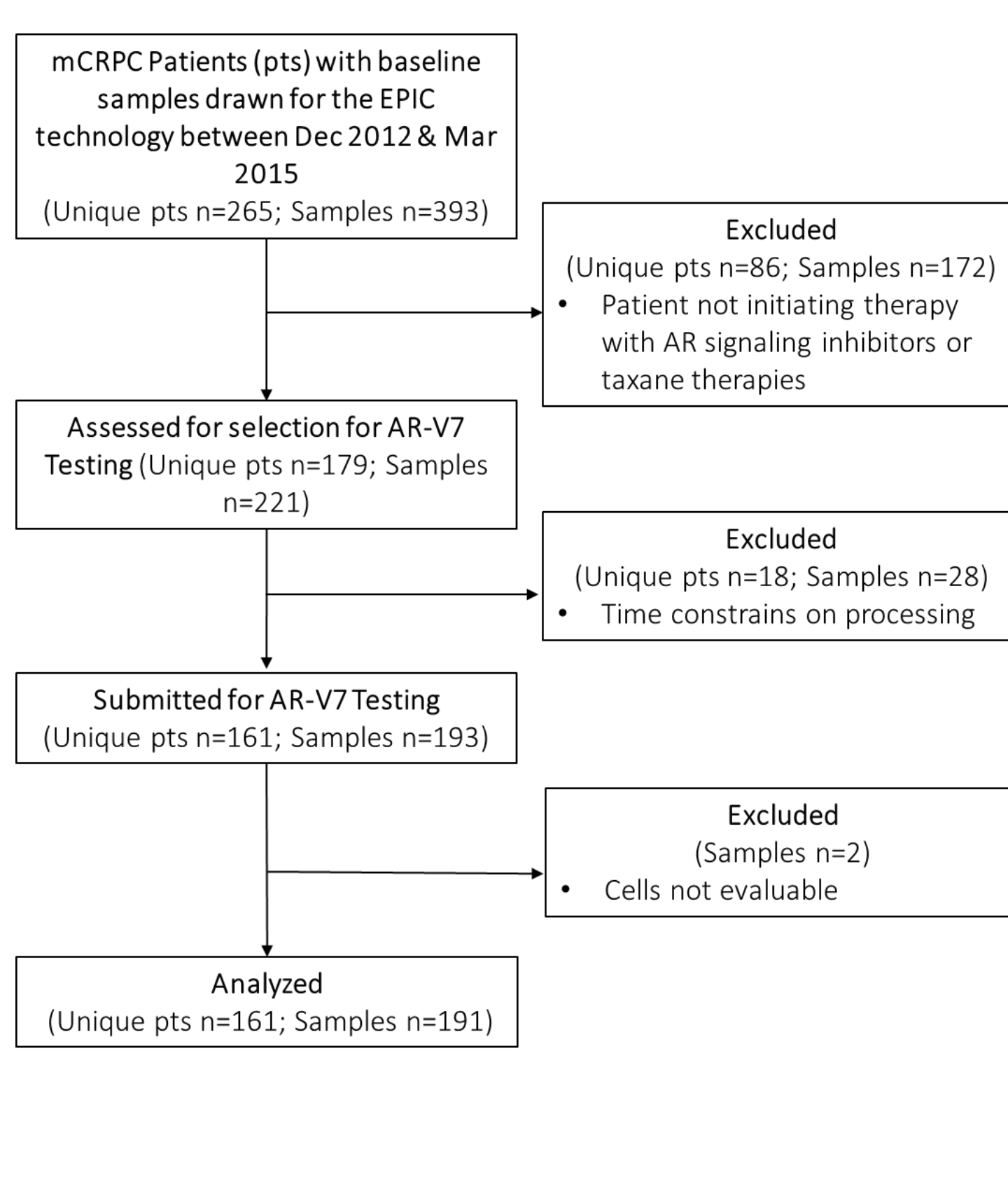


### Schematic of Epic CTC Platform CTC enumeration, morphology, and biomarker analyses workflow:

- Nucleated cells from blood sample placed onto slides and stored in a -80°C biorepository. Slides are stained with cytokeratin (CK), CD45, DAPI, AR N-term or AR-V7 and scanned. CTC candidates are detected by a multi-parametric digital pathology algorithm followed by human reader confirmation of CTCs and quantification of biomarker expression.
- CTCs are segmented within the DAPI, CK, and AR channels and single cell features are extracted.
- CTCs undergo Principle Component Analysis (PCA) removing noise and redundant dimensions, and weighing features with more variance.
- Machine learning clustering algorithms found 15 CTC subtypes from macro trends in high-dimensional biomarkers across all CTCs from all samples in cohort, and assigned each CTC to 1 of 15 subtypes. Heterogeneity is quantified by counting CTCs per "Cell Type" in each sample, then using a standard Shannon Index to quantify CTC phenotypic diversity per patient sample.
- Single cells are identified, relocated, captured, and sequenced for genomic correlation.

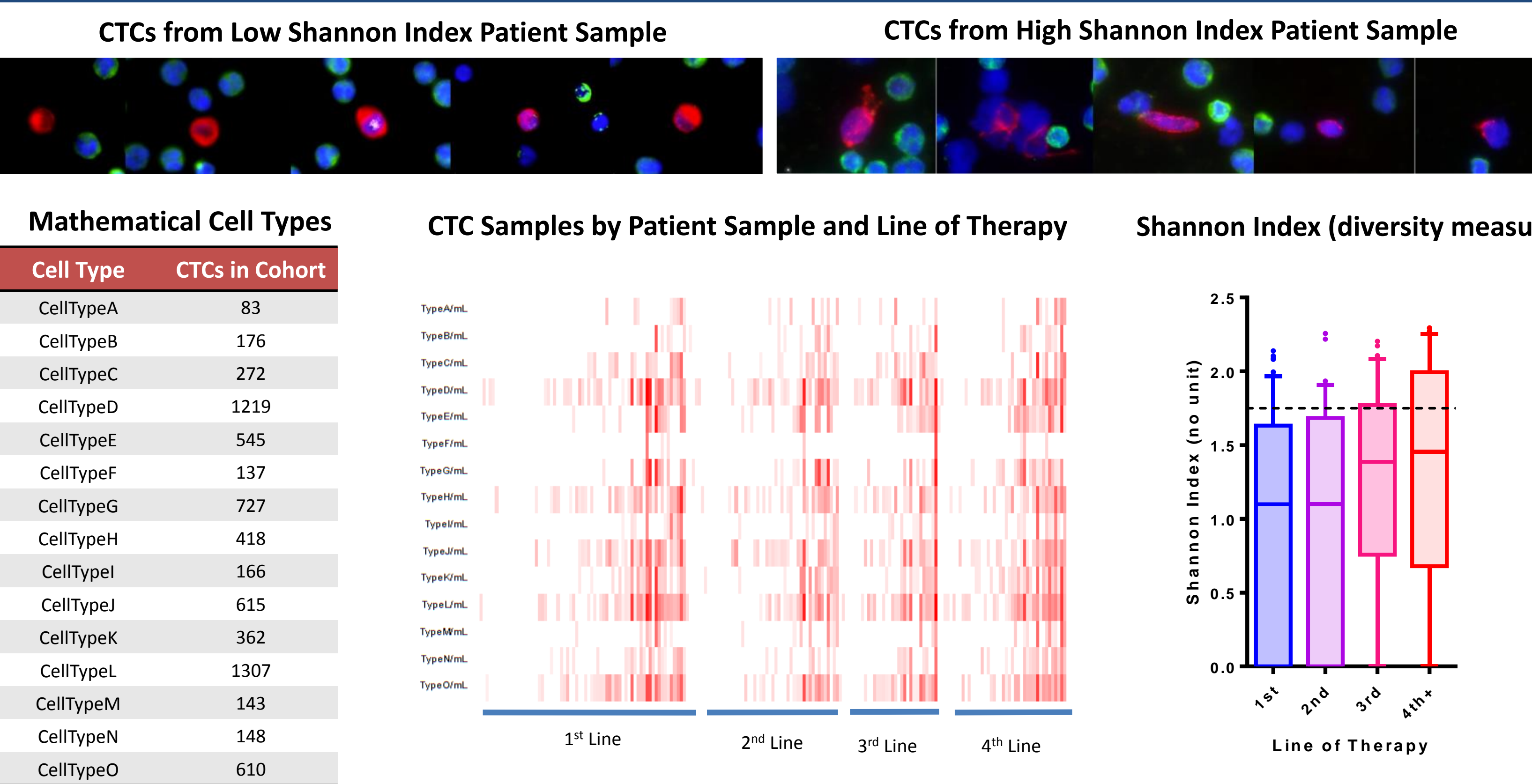
## Patient Demographics

Patient Characteristic	All Patients	
Number of Unique Patients	161	
Age, Years	68 (45-91)	
Primary Treatment	77 (48%) Prostatectomy 28 (18%) Radiation 7 (4%) Brachytherapy 49 (30%) None	
Sample Characteristic	Pre-AR Therapy	Pre-Taxane Therapy
Number of Baseline Samples	130	63
Age, years	68.5 (45-87)	68 (45-91)
Blood Age, hours	25 (2-78)	27 (1-51)
1 <sup>st</sup>	56 (43.1%)	11 (17.4%)
2 <sup>nd</sup>	40 (30.8%)	10 (15.9%)
3 <sup>rd</sup> or later	34 (26.1%)	42 (66.7%)
None	56 (43.1%)	11 (17.4%)
AR only	34 (26.2%)	19 (30.1%)
Taxane ± other (ADC, exp, combo)	10 (7.7%)	0
AR AND Taxane ± other	30 (23.0%)	33 (52.4%)
Chemo-naïve	90 (69%)	30 (48%)
Chemo-exposed	40 (31%)	33 (52%)
Bone Only	39 (30%)	19 (30%)
Lymph Node (LN) Only**	21 (16%)	2 (3%)
Bone & LN	51 (39%)	18 (29%)
Bone & Visceral ± LN**	19 (15%)	24 (38%)
PSA, ng/mL	28.0 (0.1-2454.5)	99.5 (0.1-3728.2)
Hgb, (g/dl)	12.4 (7.0-15.0)	11.6 (8.2-14.5)
ALK, (unit/L)	99 (25-2170)	181 (49-1816)
LDH, (unit/L)	208 (123-1293)	251.5 (141-1004)
ALB, (g/dl)	4.2 (3.4-4.9)	4.2 (3.1-4.9)
AR-V7 Test: Total CTCs/mL	1.77 (0-441.3)	4.35 (0-601.5)



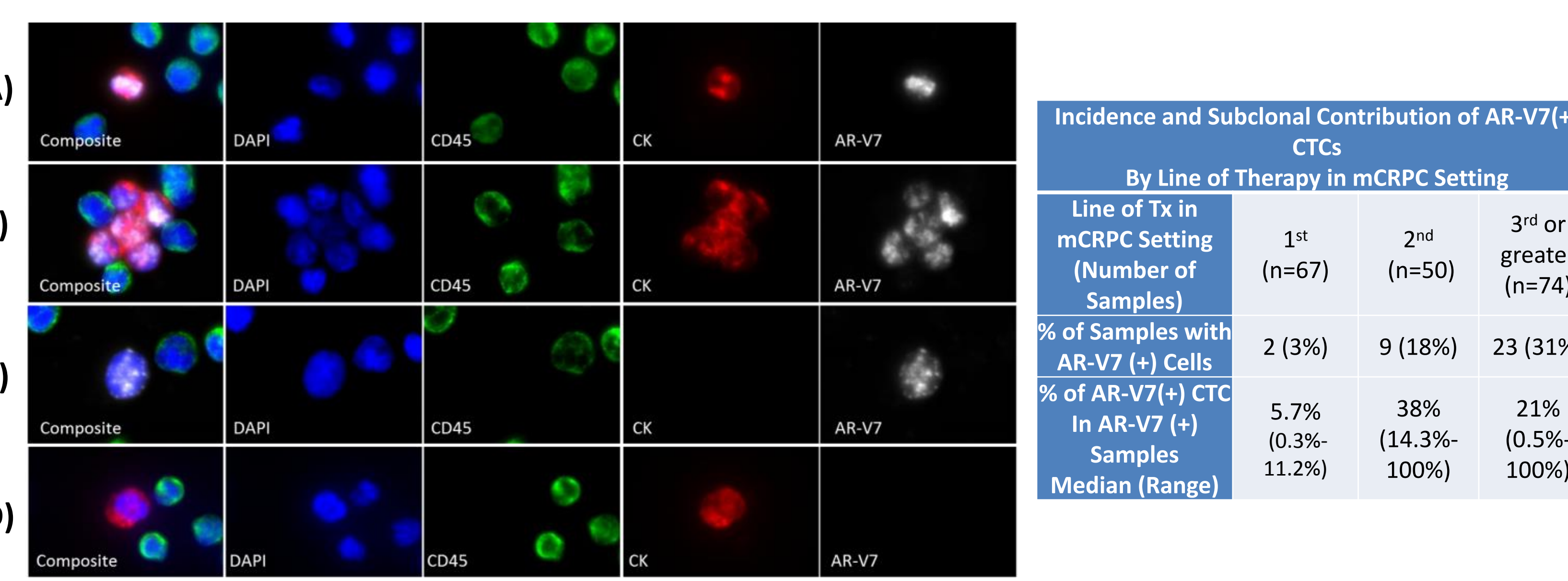
References: 1) Scher et al 2016, JAMA Oncology, Epub 4 June 2016 2) Scher et al 2016, Journal of Clinical Oncology, 2016 Genitourinary Cancers Symposium (January 7-9, 2016). Vol 34, No 2\_suppl (January 10 Supplement), 2016: 163

## 15 CTC Subtypes Defined by Morphology and Protein Enable Quantification of Intra-Patient Cancer Diversity

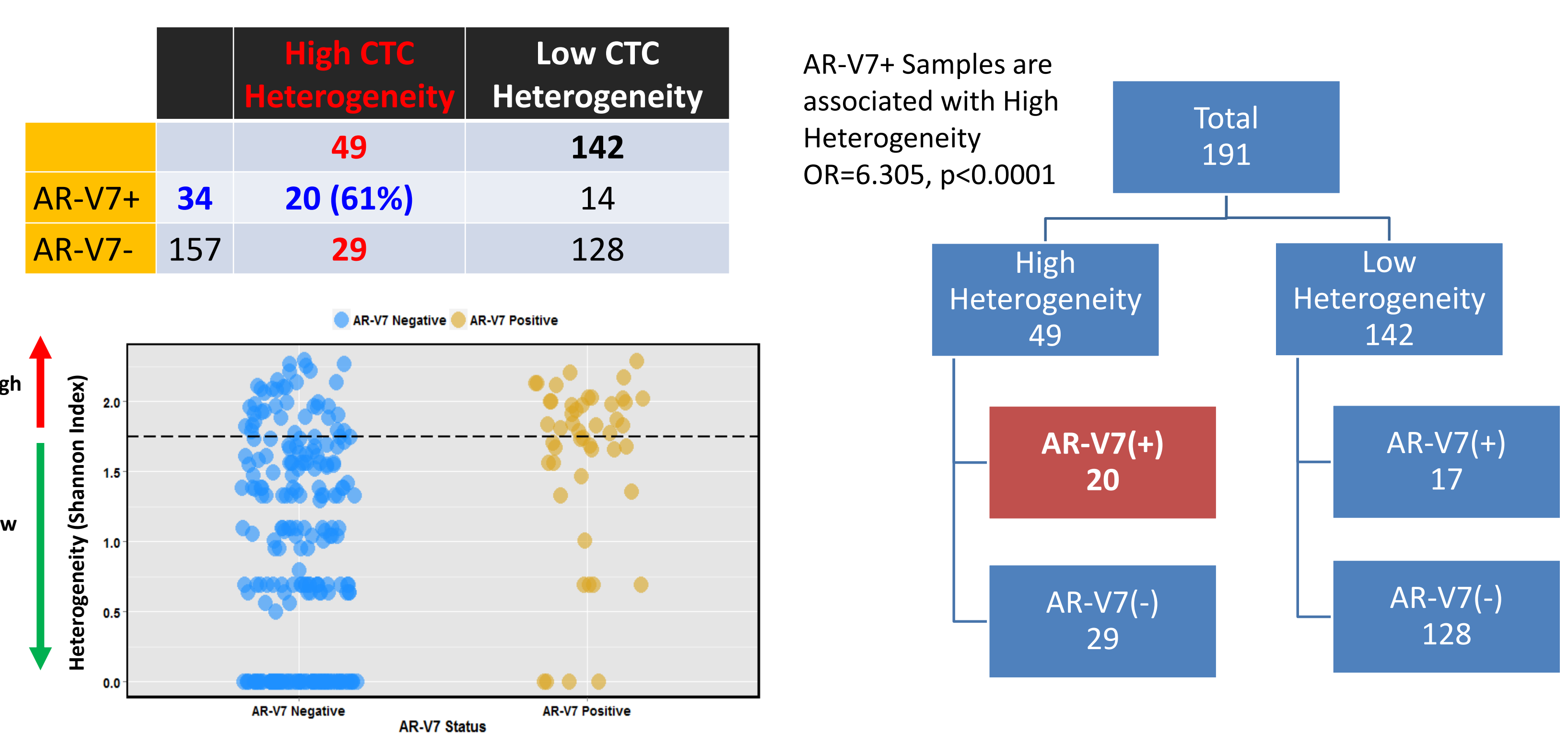


## CTCs Characterized by Epic Nuclear AR-V7 Test

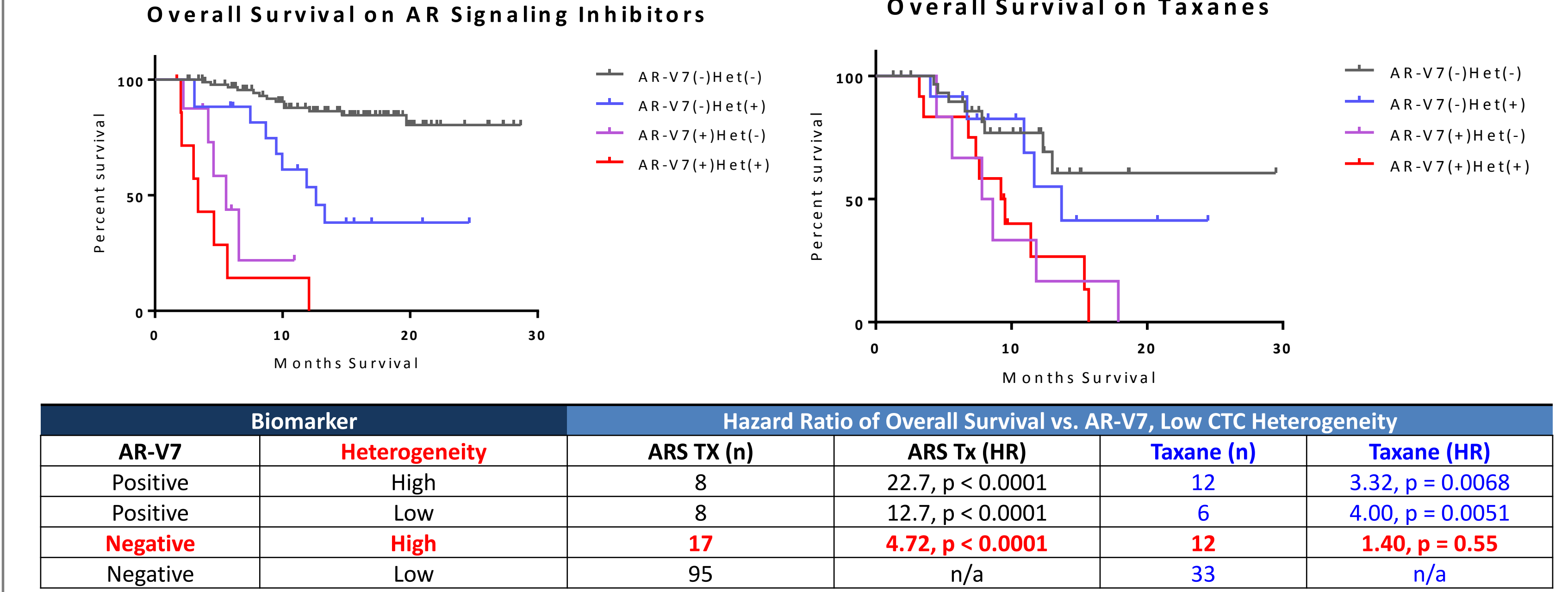
AR-V7 protein is expressed in the nucleus of multiple CTC subtypes including "traditional" CK(+) CTCs (A), CTC Clusters (B), and CK(-) CTCs (C). CTCs not expressing AR-V7 were also found (D).



## AR-V7+ Samples Frequently Have High CTC Heterogeneity



## High Heterogeneity is Associated with Increased Risk of Death in AR-V7(-) Pts Treated with AR Tx but Not Taxanes



Biomarker	Heterogeneity	ARS TX (n)	ARS Tx (HR)	Taxane (n)	Taxane (HR)
AR-V7	High	8	22.7, p < 0.0001	12	3.32, p = 0.0068
Positive	Low	8	12.7, p < 0.0001	6	4.00, p = 0.0051
Negative	High	17	4.72, p < 0.0001	12	1.40, p = 0.55
Negative	Low	95	n/a	33	n/a

## AR-V7+/HET+ Patients Have Reduced Risk of Death on Taxanes

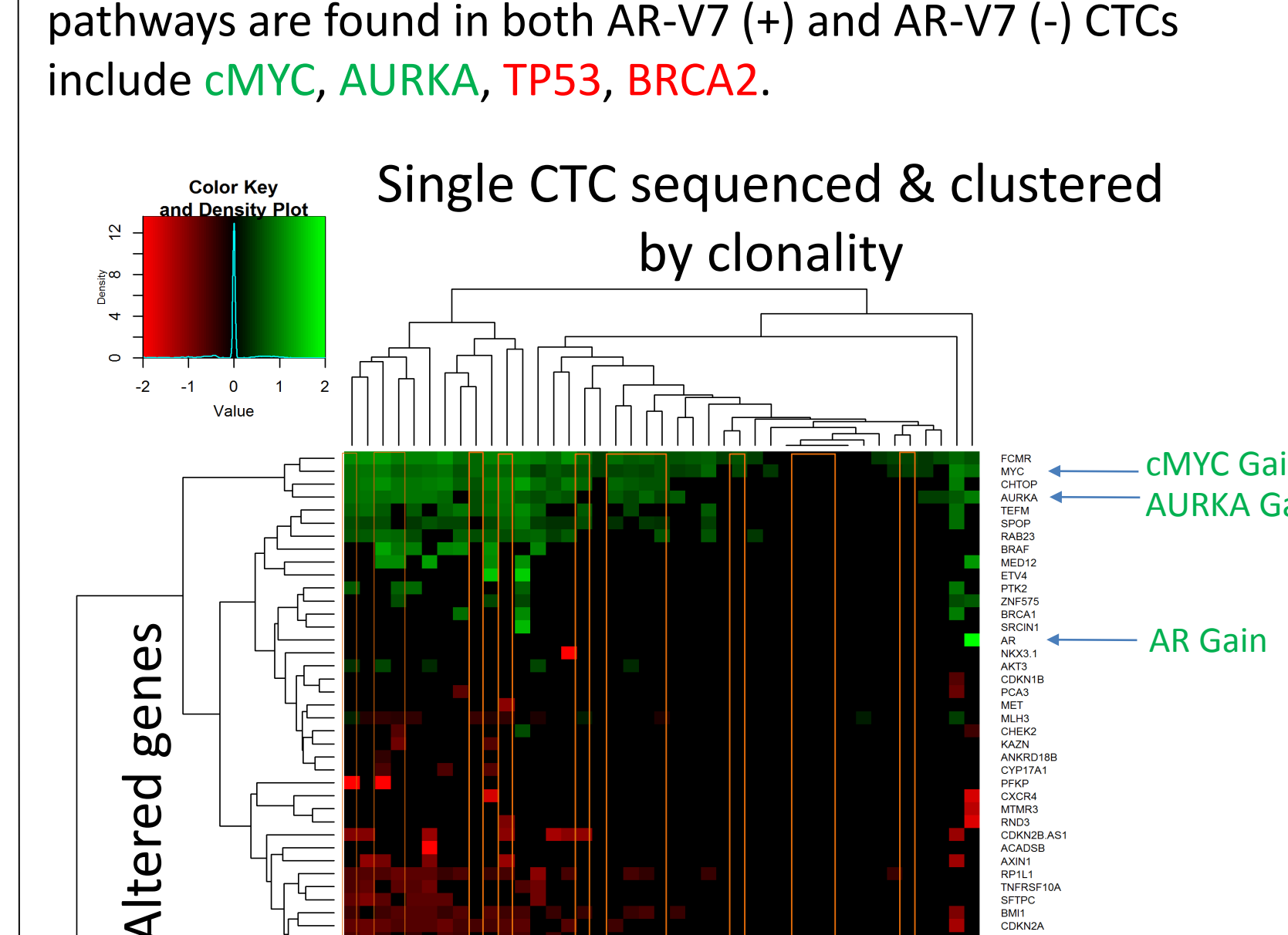
Patients with AR-V7(+) CTCs and high heterogeneity have improved OS times with taxanes

Study	Sampl es	Comparison: Taxane vs. ARSI	n Positive	Hazard Ratio: Overall Survival	p-value
Scher et al 2016, JAMA Oncology <sup>1</sup>	191	AR-V7(+) patients	34	0.24 (1.0 to 0.57)	0.035
Scher et al 2016, ASCO GU <sup>2</sup>	221	High Heterogeneity patients AR-V7 and High Heterogeneity (double positive) patients	56	0.30 (0.10 to 0.85)	0.023
This study	191	AR-V7(+) patients	20	0.20 (0.057 to 0.70)	0.012

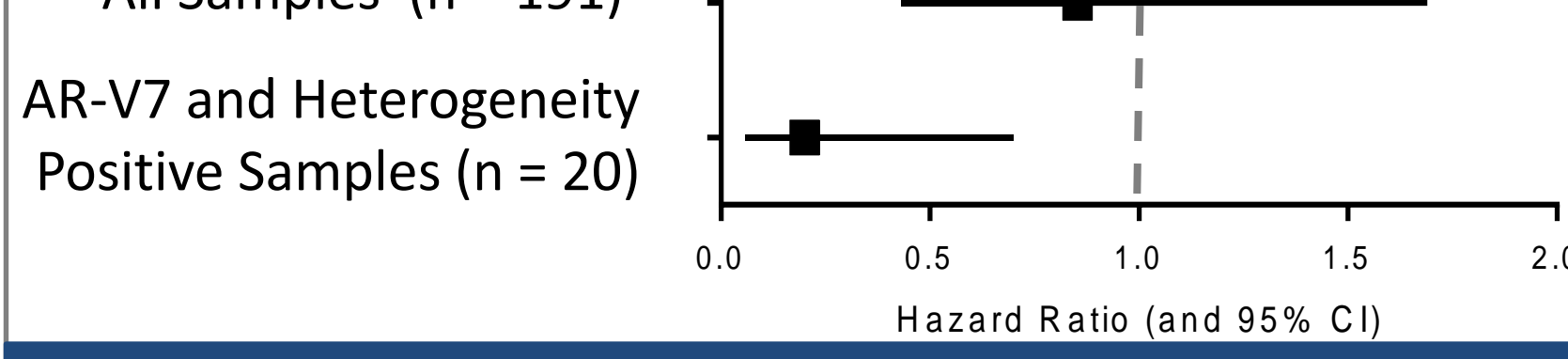
## Genomic Complexity & Heterogeneity of an AR-V7+/HET+ Patient

AR-V7+/HET+ patient genomic analysis: 37% (15/41) CTCs sequenced from a single patient blood draw are AR-V7+

Gene alterations associated with AR signaling independent pathways are found in both AR-V7 (+) and AR-V7 (-) CTCs include cMYC, AURKA, TP53, BRCA2.



## AR-V7+ Samples Frequently Have High CTC Heterogeneity



## Conclusions

- Nuclear AR-V7 protein expression and high phenotypic heterogeneity, when analyzed separately and in combination, predict poor outcomes on AR Tx alone or in combination.
- AR-V7 nuclear positivity is strongly associated with high phenotypic heterogeneity (OR= 6.3, p<0.0001).
- Patients with both nuclear AR-V7 and high heterogeneity have the worst outcome on AR Tx (HR=22.7, p<0.0001) and the strongest prediction of benefit from taxane therapy vs. ARS by hazards of death reduction (HR=0.20, p=0.011).
- Single CTC sequencing highlights high genomic complexity with multiple drivers of disease progression in AR-V7/HET+ disease.
- Prospective validation of these biomarkers is ongoing.

Support: MSKCC SPORE in Prostate Cancer (P50 CA92629), the Department of Defense Prostate Cancer Research Program (PC051382), NIH/NCI Cancer Center Support Grant P30 CA0098748, The Prostate Cancer Foundation, and the David H. Koch Fund for Prostate Cancer Research.