

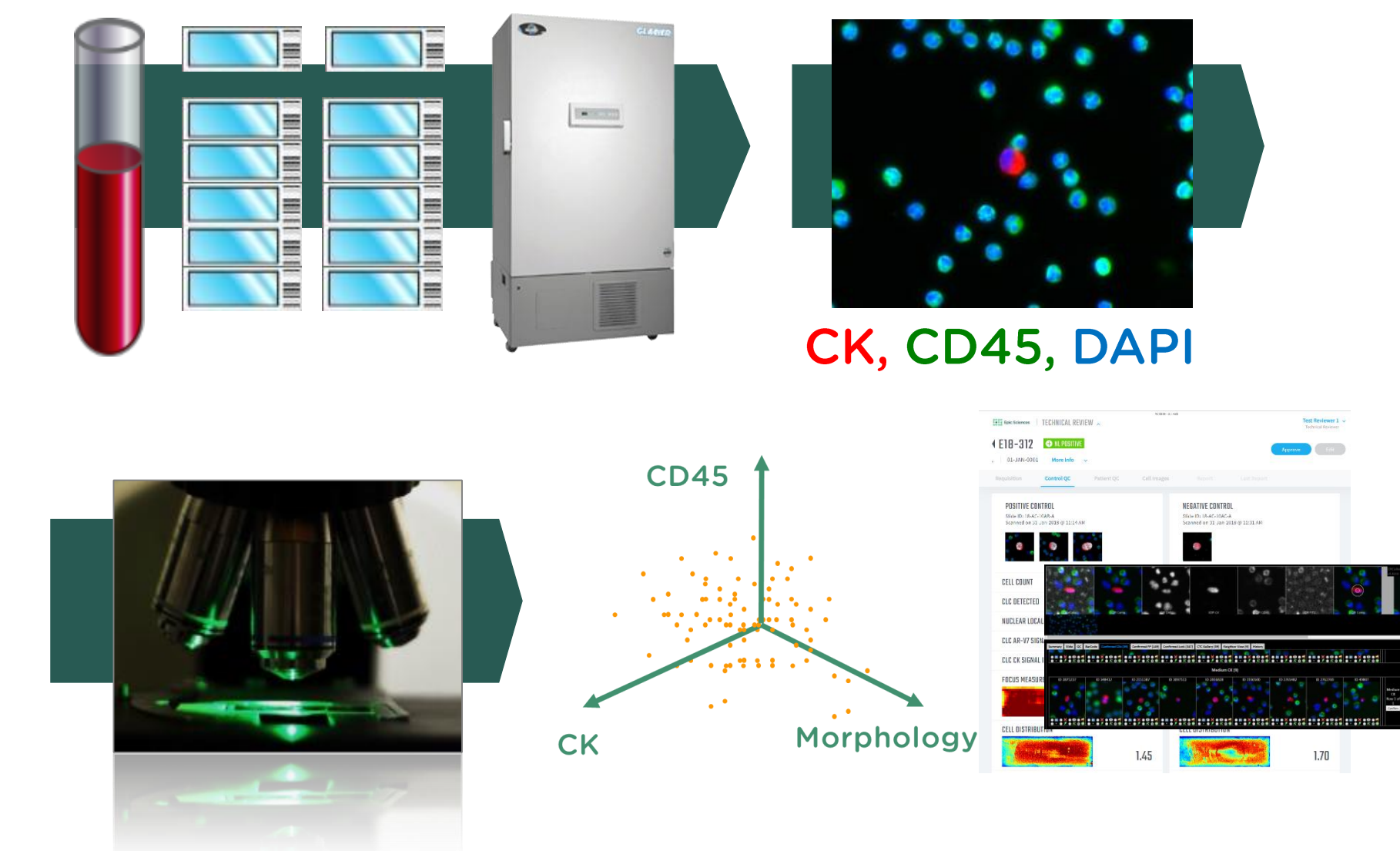
## Background

- The choice between hormonal therapies and chemotherapy is a frequent decision in the care of metastatic breast cancer (mBCa) patients.
- We previously developed quantitative measures of phenotypic CTC heterogeneity in metastatic castration resistant prostate cancer (mCRPC) and found higher heterogeneity was associated with better survival on chemotherapy vs. targeted hormonal therapies, and the reverse was true in low heterogeneity patients (Scher et al., 2017 Cancer Research).
- We apply our previous heterogeneity methodologies to a cohort of mBCa patient CTCs to ascertain feasibility in mBCa.

## Methods

- 165 blood samples from mBCa patients were processed for CTC analysis utilizing the Epic Sciences platform. Following enumeration, multi-dimensional phenotypic characterization analysis was performed utilizing protein expression and digital pathology features.
- Features from each CTC (3760 CTCs from 144 patients, 81 HR+, 12 Her2+, 4 HR+/Her2+, 47 TNBC) were compared by unsupervised clustering, Shannon Index and intra-patient variance analyses to assess the intra-patient heterogeneity among mBCa CTC phenotypes.

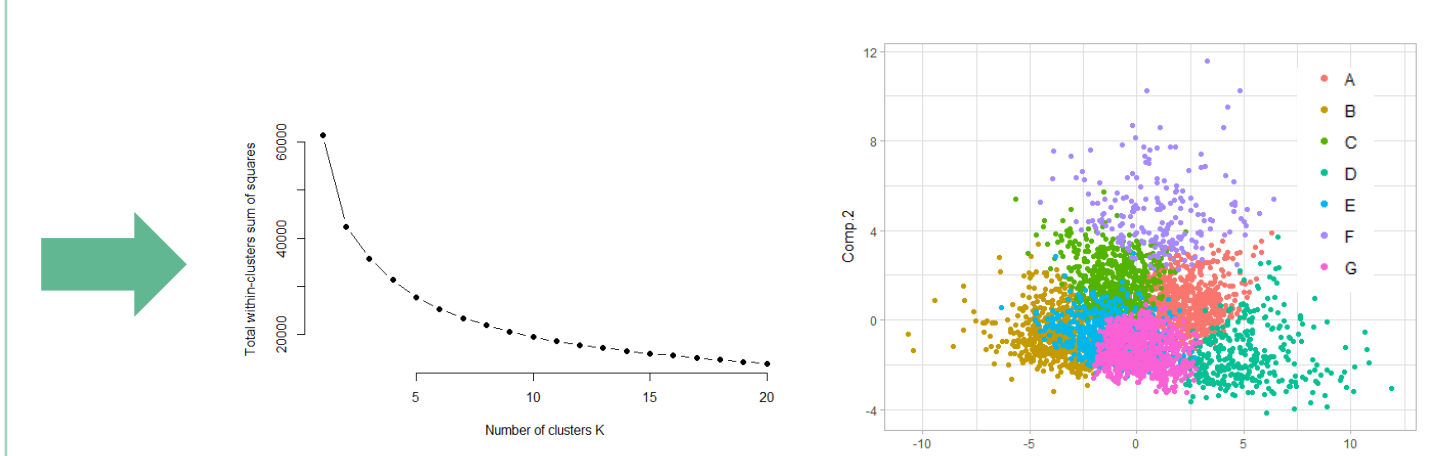
### 1) Epic Sciences Platform



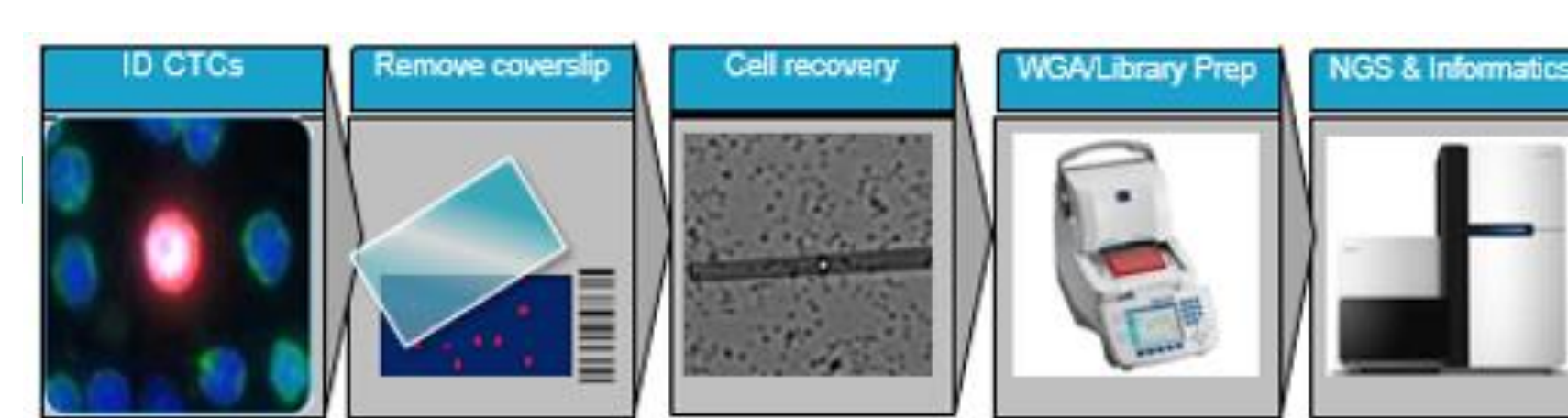
### 2) Single Cell Features

- Protein Biomarker Features
- CK cRatio (protein expression)
- Digital Pathology Features
- Nuclear Area (um<sup>2</sup>)
- Cytoplasmic Area(um<sup>2</sup>)
- Nuclear Convex Area (um<sup>2</sup>)
- Cytoplasmic Convex Area (um<sup>2</sup>)
- Nuclear Major Axis (um)
- Cytoplasmic Major Axis (um)
- Nuclear Minor Axis (um)
- Cytoplasmic Minor Axis (um)
- Nuclear Circularity
- Cytoplasmic Circularity
- Nuclear Solidity
- Cytoplasmic Solidity
- Nuclear Entropy
- Nuclear to Cytoplasmic Convex Area Ratio
- Nucleoli
- CK Speckles
- Nuclear Speckles

### 3) Unsupervised Clustering



### 4) Single Cell Capture and Sequencing

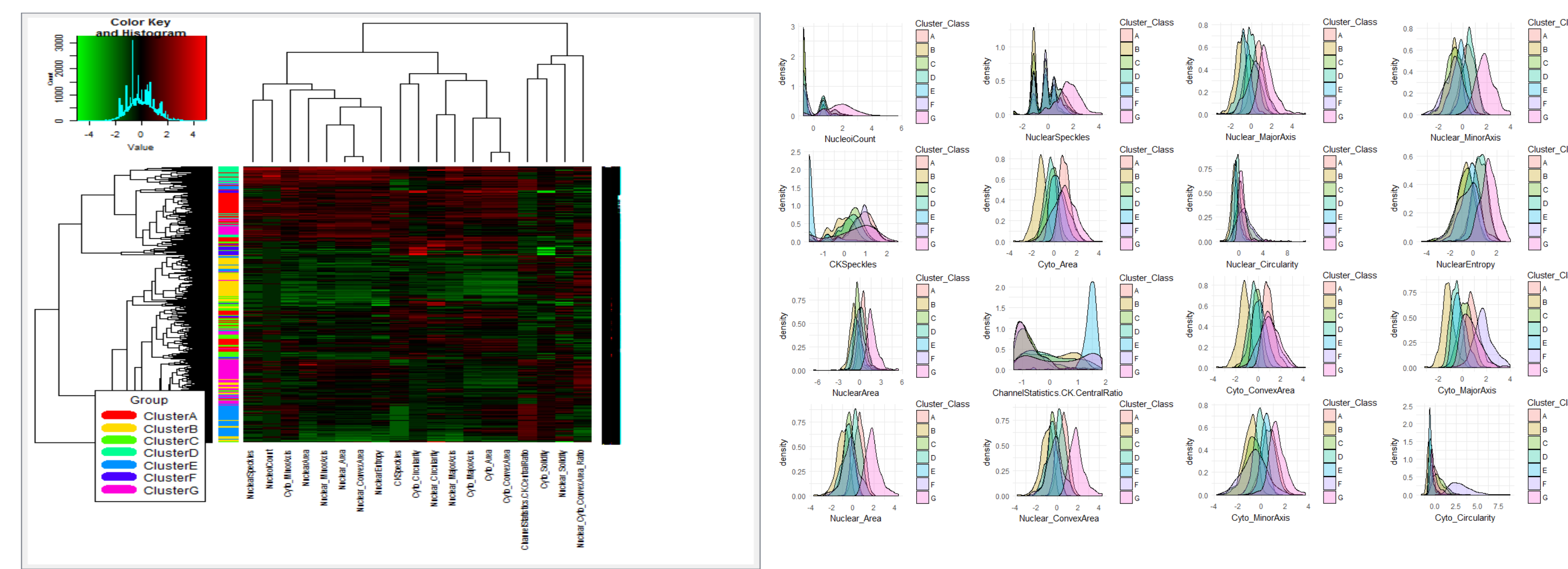


Schematic of Epic CTC Platform CTC enumeration, morphology, biomarker analyses and single cell sequencing workflow:

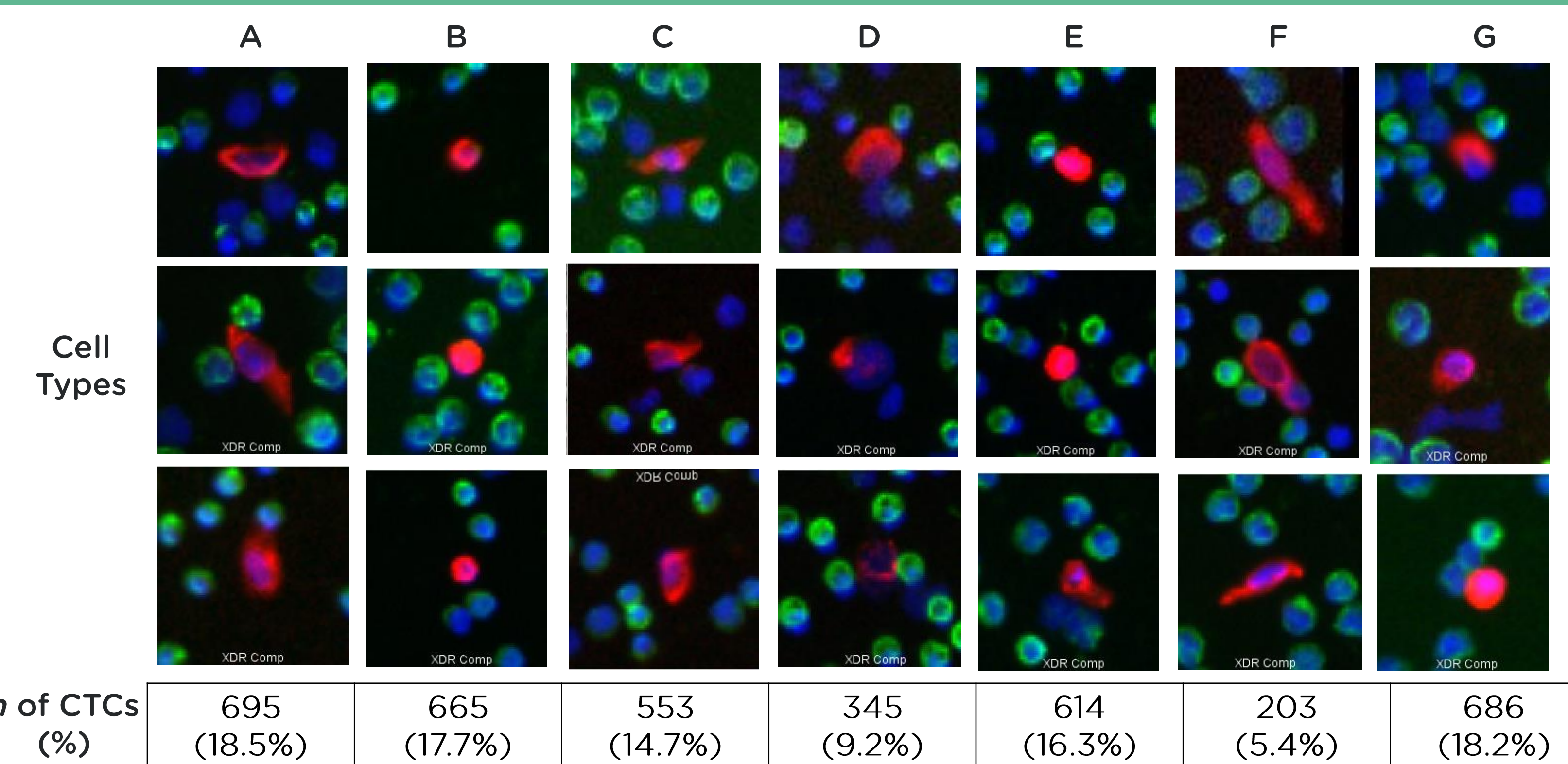
- Nucleated cells from blood sample placed onto slides and stored in a -80°C biorepository. Slides are stained with cytokeratin (CK), CD45, DAPI and scanned. CTC candidates are detected by a multi-parametric digital pathology algorithm followed by human reader confirmation of CTCs and quantification of biomarker expression.
- CTCs are segmented within the DAPI and CK channels and single cell features are extracted.
- CTCs undergo Principle Component Analysis (PCA) removing noise and redundant dimensions, and weighing features with more variance. Machine learning clustering algorithms found 7 CTC subtypes from macro trends in high-dimensional biomarkers across all CTCs from all samples in cohort, and assigned each CTC to 1 of 7 subtypes. Heterogeneity is quantified by counting CTCs per "Cell Type" in each sample, then using a standard Shannon Index to quantify CTC phenotypic diversity per patient sample.
- Single cells are identified, relocated, captured, whole genome amplified (WGA), library prepared and low pass whole genome sequenced for Large Scale Transitions (LST, a surrogate of chromosomal instability) and gene copy number alterations (CNA) (Greene et al. 2016 Plos One).

References:  
Scher HI, et al. Phenotypic Heterogeneity of Circulating Tumor Cells Informs Clinical Decisions between AR Signaling Inhibitors and Taxanes in Metastatic Prostate Cancer. Cancer Res. 2017 Oct 15;77(20):5687-5698  
Greene SB, et al. Chromosomal Instability Estimation Based on Next-Generation Sequencing and Single Cell Genome Wide Copy Number Variation Analysis. PLoS One. 2016 Nov 16;11(11):e0165089.

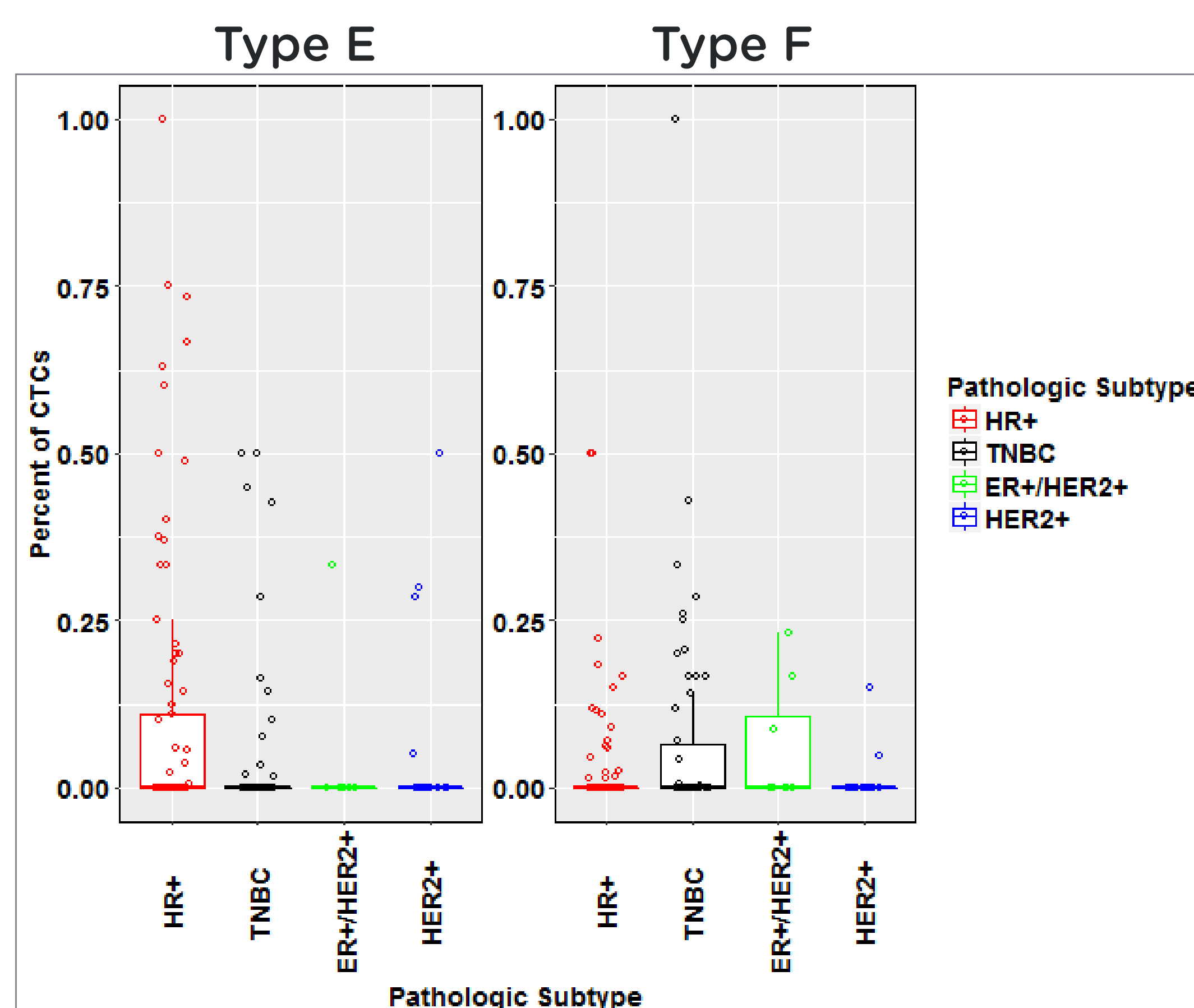
## Morphological Features Associated with CTC Subtypes



## Example Cell Images of CTC Subtypes

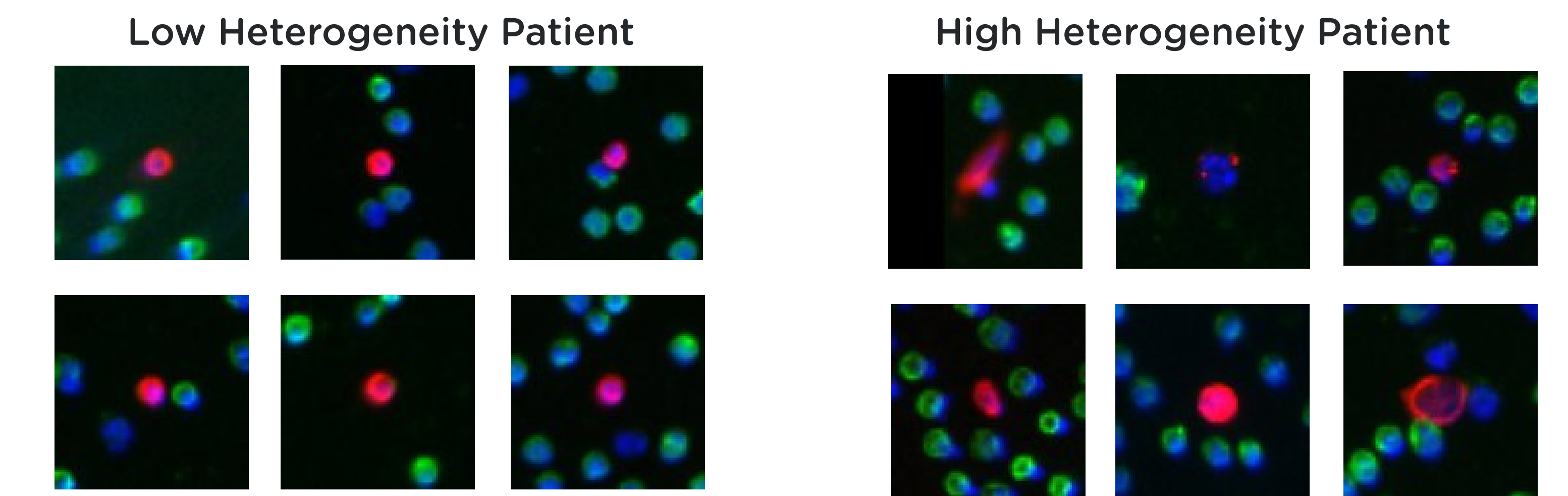


## CTC Subtypes are Associated with Breast Cancer Pathological Types

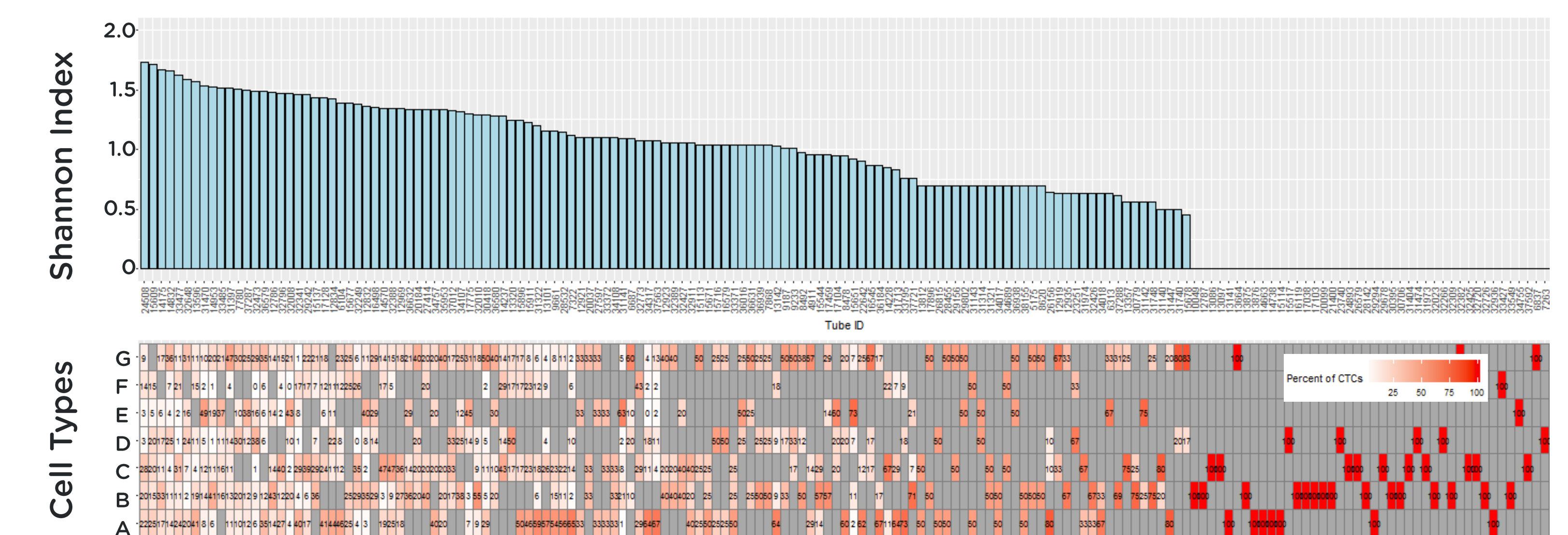


- HR+ mBCa was more enriched with 'Type E'
  - Low CK expression
  - Low Circularity
- TNBC was more enriched with 'Type F'
  - High CK expression
  - High Circularity

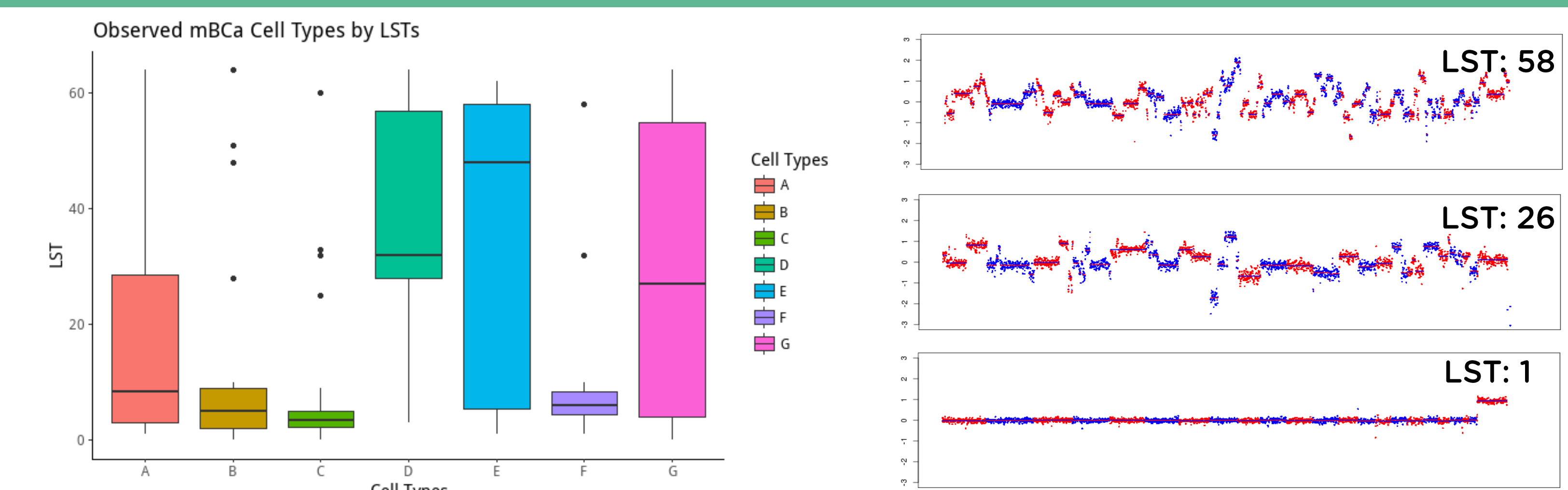
## High CTC Phenotypic Heterogeneity is Common in mBCa Blood Samples



### Heterogeneity Scores in mBCa Samples



## Phenotypic CTC Subtypes are Associated with Genomic Profiles



n of CTCs Sequenced	A	B	C	D	E	F	G
ERBB2 Gain	14%	5%	0%	30%	33%	9%	46%
FGFR1 Gain	29%	52%	18%	37%	70%	18%	38%
BRCA1 Loss	7%	0%	5%	20%	15%	0%	15%
BRCA2 Loss	14%	14%	14%	33%	44%	18%	31%
CDH1 Loss	43%	10%	27%	83%	63%	27%	77%
PTEN Loss	21%	14%	18%	3%	0%	18%	0%
TP53 Loss	29%	14%	23%	83%	67%	27%	54%

## Conclusions

- Distinct CTC phenotypes are visible across mBCa patients and clustered into 7 CTC phenotypic subtypes.
- A wide range of phenotypic CTC heterogeneity is observed between and within patients.
- mBCa phenotypic CTC subtypes are associated with unique genome profiles.
- We seek to determine if patients with high heterogeneity might be better candidates for hormonal therapy. Studies linking heterogeneity to therapeutic efficacy and patient outcome are ongoing.