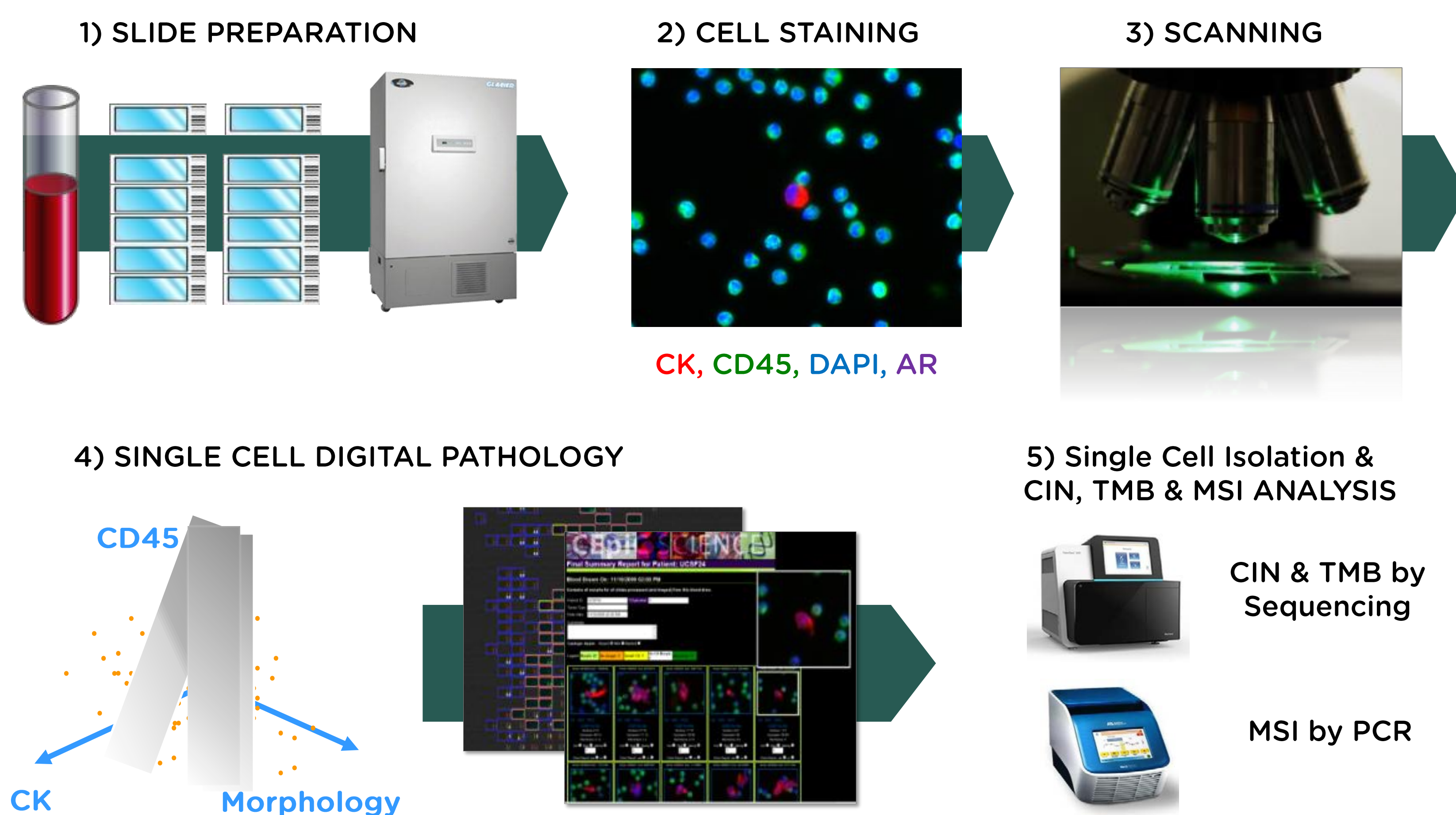


## Background

- Durable clinical responses have been attained with PARPi and immune checkpoint inhibitors, exploiting tumors harboring HR and MMR deficiencies respectively.
- Currently, the field lacks robust and validated biomarkers that could predict response to these agents in heterogeneous metastatic disease.
- Genomic-based methods detecting HR and MMR deficiencies (e.g. *BRCA* and MSI status) from bulk tumor tissue, and more recently ctDNA, lack the sensitivity required to dissect tumor genomic heterogeneity common in metastatic disease, compromising clinical biomarker performance and utility.
- To circumvent this, we utilized the Epic Sciences CTC detection and single cell DNA sequencing to develop a unique assay to simultaneously assess (1) TMB, (2) CIN and (3) tumor clonality, paving the way for the development and validation of comprehensive biomarkers of response for immuno-oncology (IO) and PARPi agents.

## Methods

- Contrived samples were prepared by spiking three well characterized prostate cancer (PCa) cell lines, LNCaP, PC3 and VCaP, into normal blood donor.
- Clinical samples from metastatic castration resistant prostate cancer (mCRPC) patients were included to explore potential clinical feasibility.

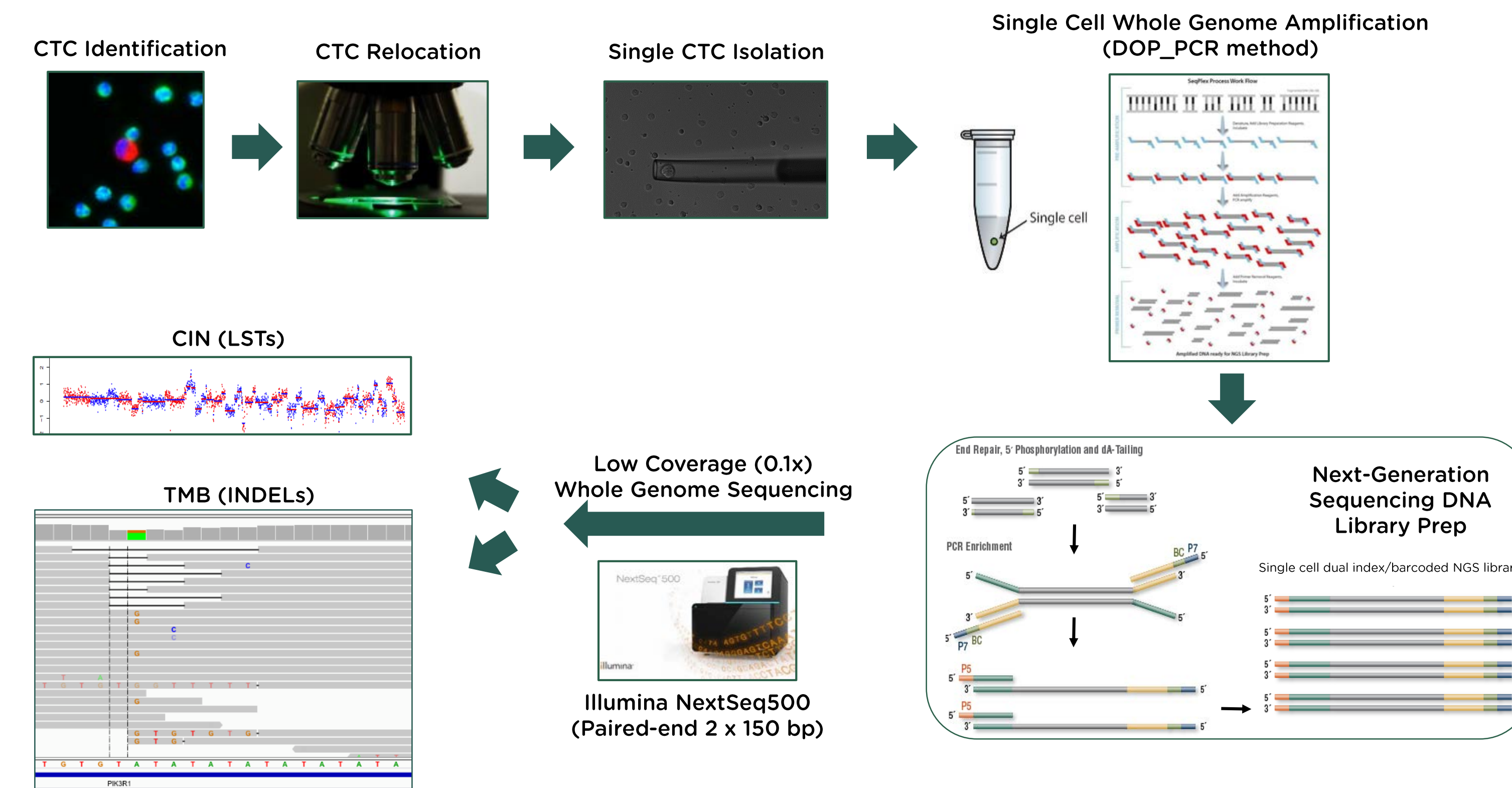


### Schematic of Epic CTC platform for CTC identification, single cell sequencing, and TMB, CIN analyses workflow:

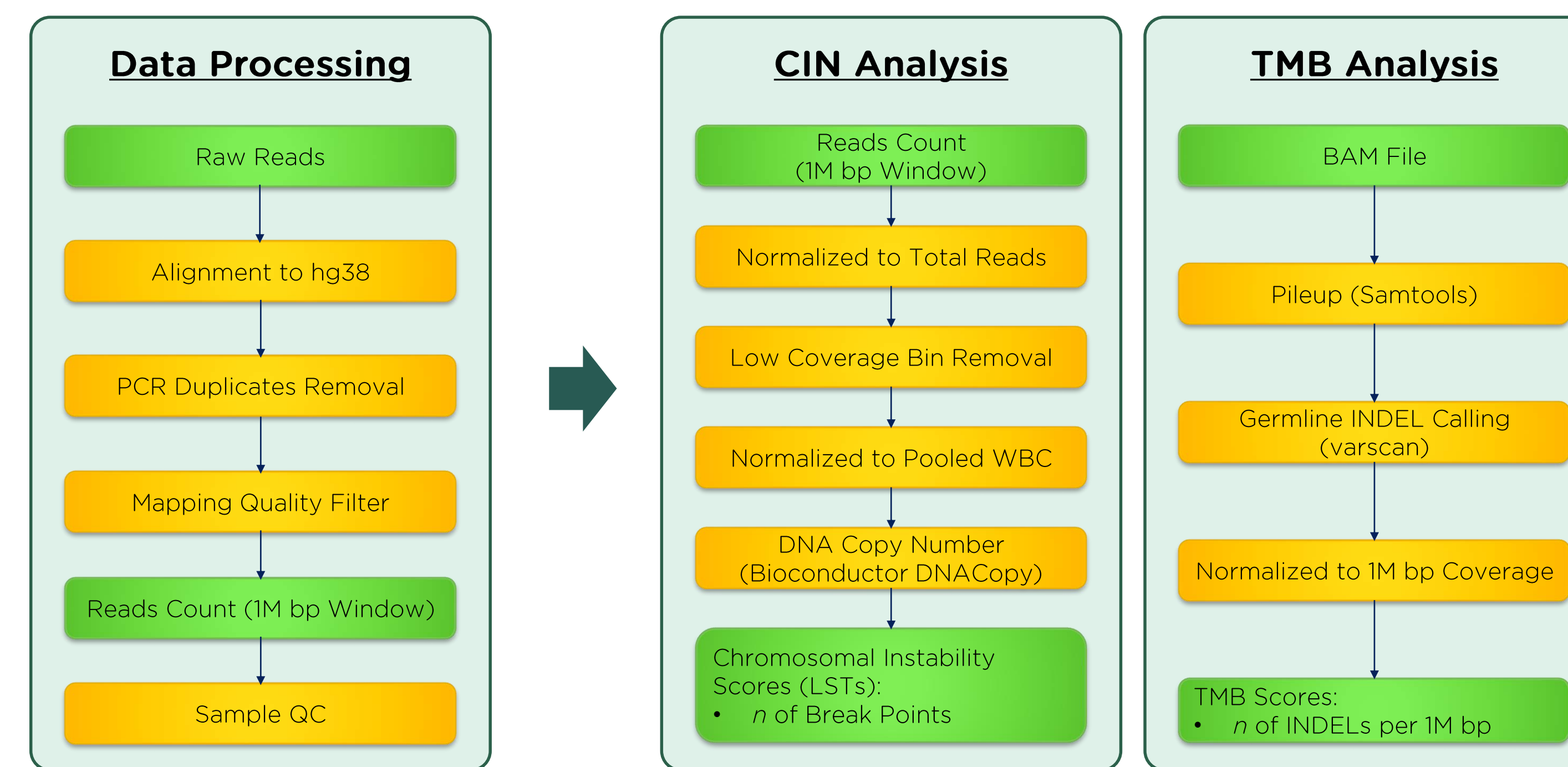
- Nucleated cells from patient's blood samples or prostate cancer (PCa) cell lines spiked into normal blood donor were deposited onto 10-12 glass slides containing ~3 million cells.
- Slides were IF-stained and scanned automatically at high speed to visualize cytokeratin (an epithelium marker), AR and CD45 (leukocyte exclusion marker), while DAPI was used as nuclear counterstain.
- CTC identification based on (DAPI<sup>+</sup>; CK<sup>+</sup>; CD45<sup>-</sup>) phenotype was achieved using a multi-parametric digital pathology algorithm. Subsequently, relocated CTCs were individually isolated.
- Each recovered cell was lysed, whole genome amplified (WGA), shotgun dual index NGS-library prepared and low pass whole genome sequenced using Illumina NextSeq 500.
- TMB was measured as # of INDELS per Mbp and CIN was measured as large scale transitions (# of breakpoints for DNA segments larger than 10MB). For MSI validation, the QIAGEN Type-it microsatellite PCR kit was used to evaluate four different *loci* (BAT26, BAT25, D2S123, and D5S346).

Reference: Chromosomal Instability Estimation Based on Next Generation Sequencing and Single Cell Genome Wide Copy Number Variation Analysis. Greene SB, Dago AE, et al. PLoS One. 2016 Nov 16;11(11):e0165089.

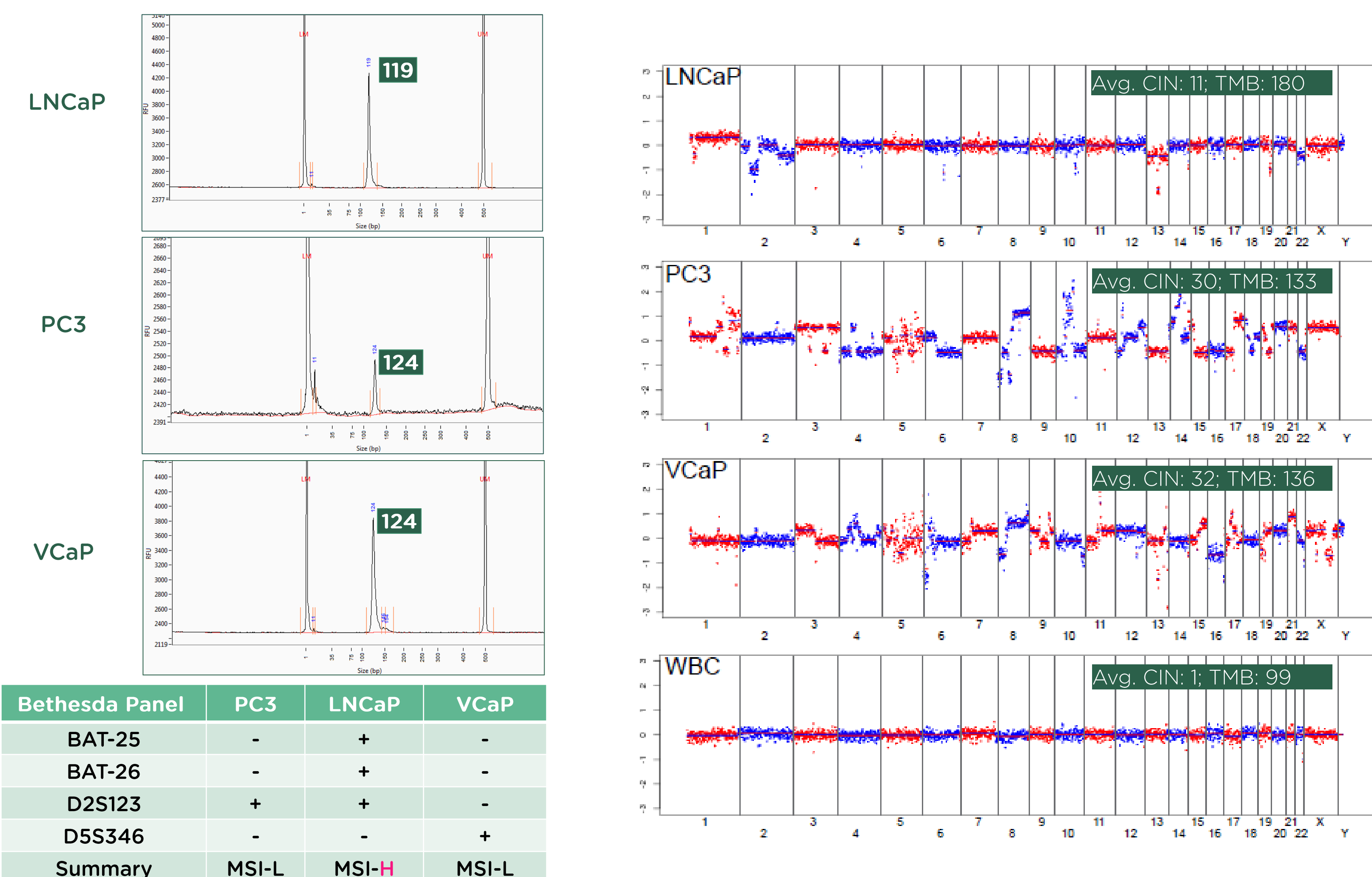
## Single Cell Genomics Workflow



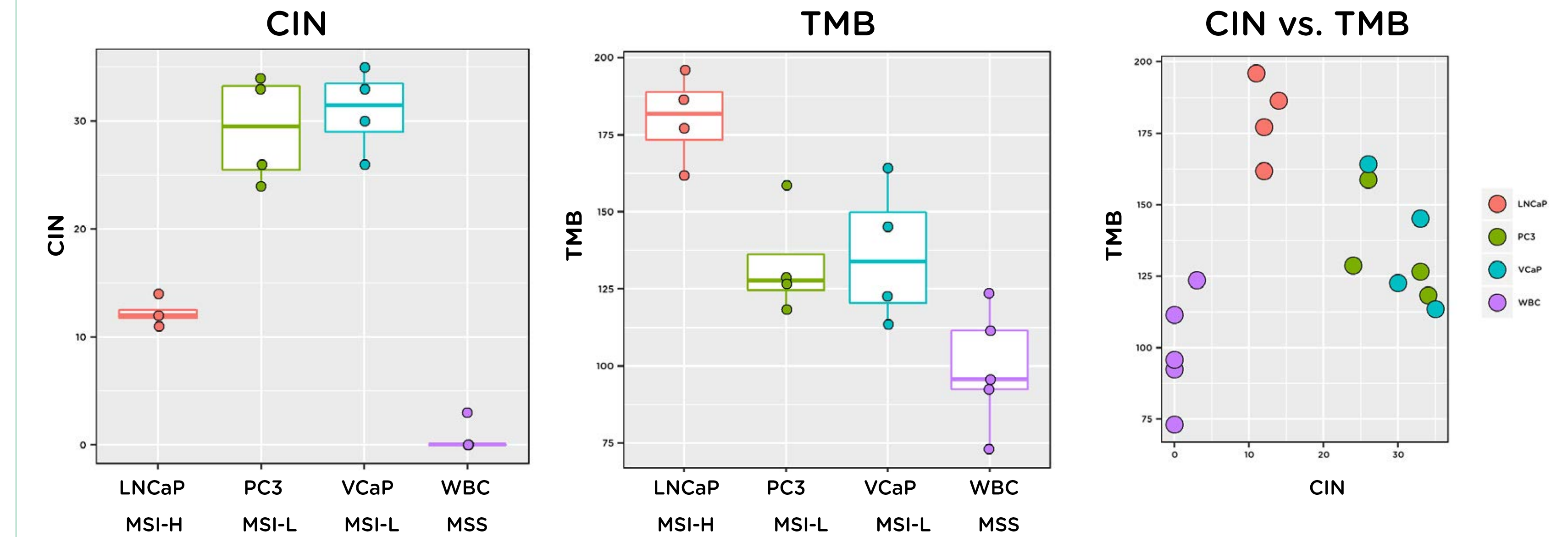
## Single Cell CIN & TMB Bioinformatics Pipeline



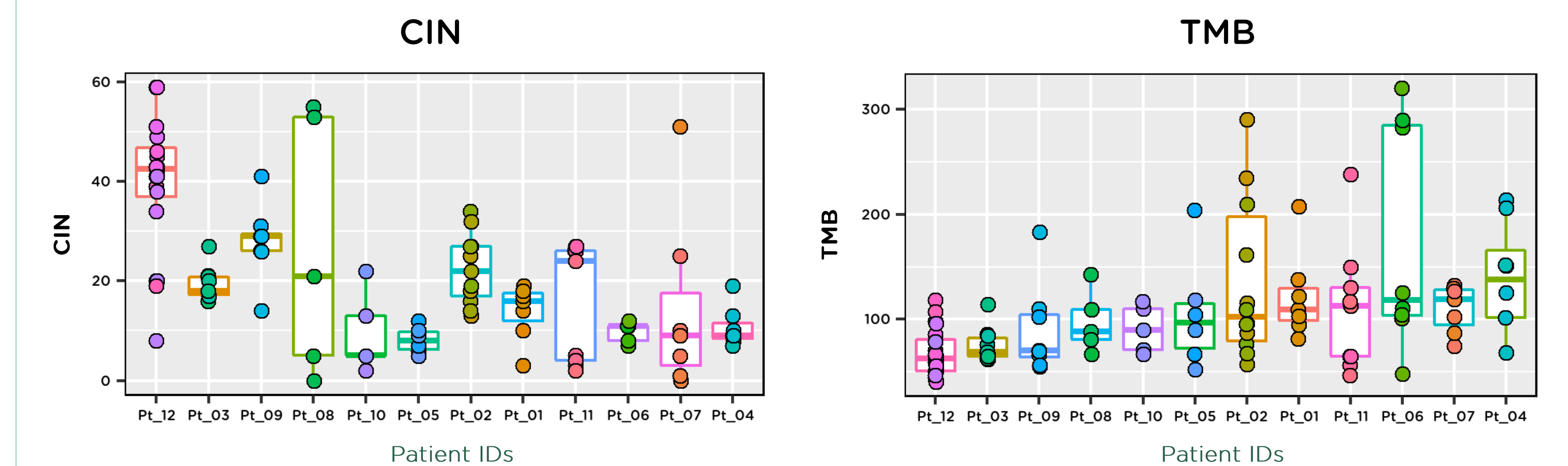
## Single Cell MSI Validation, CIN & TMB



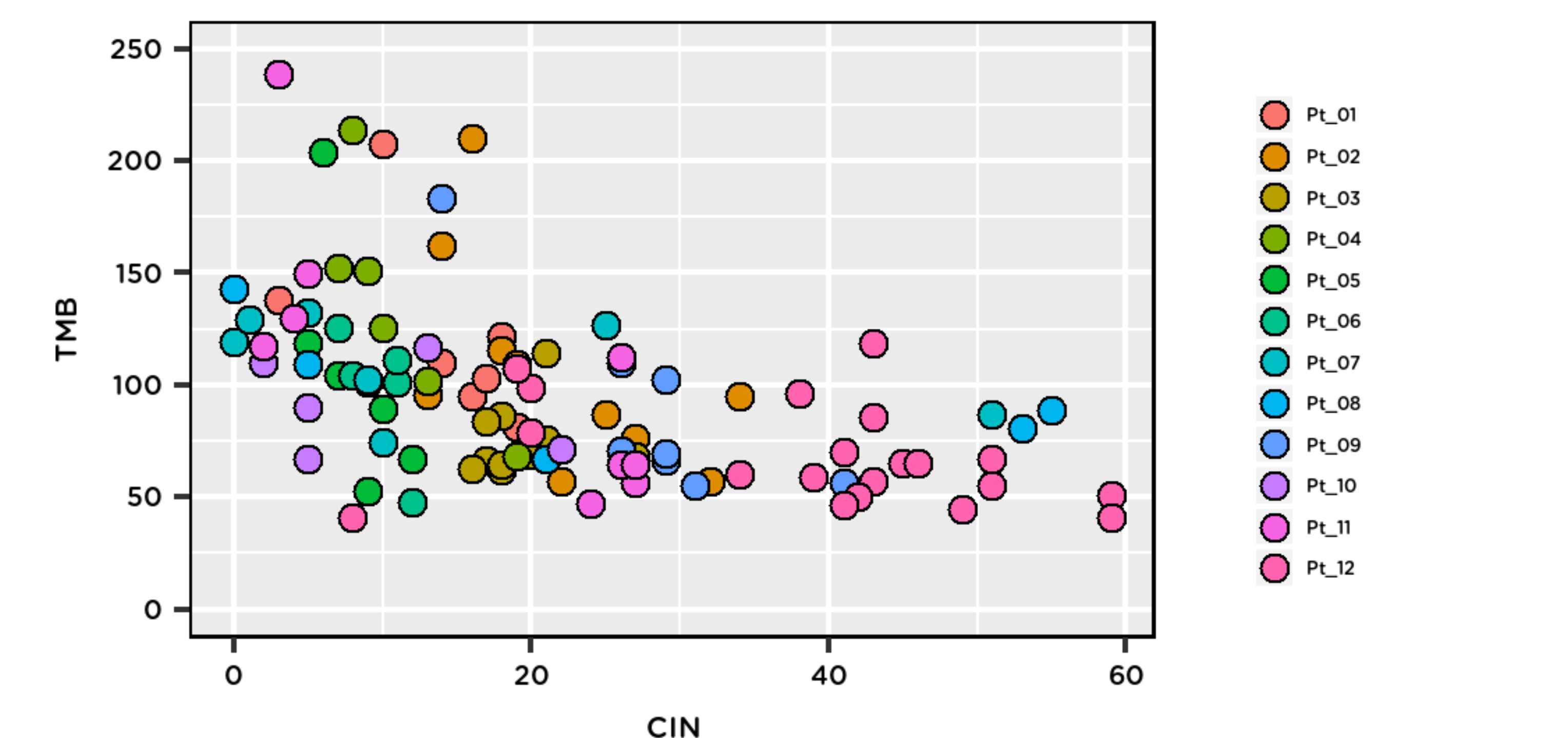
## CIN and TMB Scores of PCa Cell Lines and WBCs



## CIN and TMB Scores of mCRPC Patients



## CIN vs TMB in Clinical Patient Samples



## Conclusions

- Our cell line data indicates that genome-wide INDEL burden detected at low coverage correlates with MSI status and may be a valuable surrogate to identify patients with MMR-deficiency.
- CIN and TMB scores from single CTCs are inversely correlated, suggesting that MMR and HRD are likely mutually exclusive events driving tumor evolution and disease progression.
- Inter- and intra-patient tumor genomic heterogeneity was commonly observed, suggesting that CIN and TMB scores might be underestimated using tumor tissue or ctDNA for analysis.
- Overall, we demonstrated the feasibility of using a simple blood based test to quantify TMB, CIN, and protein expression on single CTCs, in a cost effective manner, providing the framework to develop and validate a comprehensive biomarker of response for IO and PARPi agents in future clinical studies.