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Nuclear-specific AR-V7 Protein Localization is Necessary to Guide Treatment Selection in Metastatic Castration-resistant Prostate Cancer

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Article info

Abstract

Background: Circulating tumor cells (CTCs) expressing AR-V7 protein localized to the nucleus (nuclear-specific) identify metastatic castration-resistant prostate cancer (mCRPC) patients with improved overall survival (OS) on taxane therapy relative to the androgen receptor signaling inhibitors (ARSi) abiraterone acetate, enzalutamide, and apalutamide.

Objective: To evaluate if expanding the positivity criteria to include both nuclear and cytoplasmic AR-V7 localization ("nuclear-agnostic") identifies more patients who would benefit from a taxane over an ARSi.

Design, setting, and participants: The study used a cross-sectional cohort. Between December 2012 and March 2015, 193 pretherapy blood samples, 191 of which were evaluable, were collected and processed from 161 unique mCRPC patients before starting a new line of systemic therapy for disease progression at the Memorial Sloan Kettering Cancer Center. The association between two AR-V7 scoring criteria, post-therapy prostate-specific antigen (PSA) change (PTPC) and OS following ARSi or taxane treatment, was explored. One criterion required nuclear-specific AR-V7 localization, and the other required an AR-V7 signal but was agnostic to protein localization in CTCs.

Outcome measurements and statistical analyses: Correlation of AR-V7 status to PTPC and OS was investigated. Relationships with survival were analyzed using multivariable Cox regression and log-rank analyses.

Results and limitations: A total of 34 (18%) samples were AR-V7-positive using nuclear-specific criteria, and 56 (29%) were AR-V7-positive using nuclear-agnostic criteria. Following ARSi treatment, none of the 16 nuclear-specific AR-V7-positive samples and six of the 32 (19%) nuclear-agnostic AR-V7-positive samples had ≥50% PTPC at 12 weeks. The strongest baseline factor influencing OS was the interaction between the presence of nuclear-specific AR-V7-positive CTCs and treatment with a taxane (hazard ratio 0.24, 95% confidence interval 0.078–0.79; $p = 0.019$). This interaction was not significant when nuclear-agnostic criteria were used.

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Conclusions: To reliably inform treatment selection using an AR-V7 protein biomarker in CTCs, nuclear-specific localization is required.

Patient summary: We analyzed outcomes for patients with metastatic castration-resistant prostate cancer on androgen receptor signaling inhibitors and standard chemotherapy. Patients with circulating tumor cells that had AR-V7 protein in the cellular nuclei were very likely to survive longer on taxane-based chemotherapy, and tests unable to distinguish where the protein is located in the cell are not as predictive of benefit.

1. Introduction

The increasing use of molecular profiling has revealed that each round of systemic therapy can change the biologic profile of a patient's disease, supporting the need for serial analyses before each change in therapy to best inform therapy selection [1]. For this reason, each new line of therapy for progressing metastatic castration-resistant prostate cancer (mCRPC) represents a key clinical treatment decision according to the Prostate Cancer Working Group 3 recommendations [1]. In the context of mCRPC management, a crucial decision is the choice between an androgen receptor signaling inhibitor (ARSi) or taxane-based chemotherapy, both approved and life-prolonging. For this context, therapy-guiding predictive biomarkers are an unmet medical need.

Circulating tumor cells (CTCs) can be obtained from routine phlebotomy samples with minimal patient discomfort, which allows profiling of cells from multiple lesions at the same time. By contrast, single-site tumor biopsies are invasive, costly, and difficult to repeat [2]. Androgen receptor splice variant 7 (AR-V7) contains a truncated C-terminal region lacking the ligand-binding domain, allowing AR signaling to be activated independent of a ligand. Several groups have recently demonstrated that detection of *AR-V7* mRNA in pooled, lysed CTCs from patients with progressing mCRPC [3–5] predicts disease resistance to ARSi [3]. However, mRNA-based methods have yet to identify patients who would live significantly longer if given a taxane when adjusting for preclinical features, such as line of therapy, in multivariate models [6].

How AR splice variants are regulated at the mRNA and protein levels are active areas of research. Studies evaluating AR-V7 in tissue samples typically require nuclear localization of AR-V7 protein to score positive, which cannot be assessed in pooled mRNA samples [7–9]. Several reported tests for AR-V7 in CTCs have used epithelial cell adhesion molecule (EpCAM) enrichment capture followed by *AR-V7* mRNA detection in pooled CTC aggregates [3,5,10] or detection of cell-free *AR-V7* mRNA transcripts in whole blood [11]. The Epic Sciences platform uses a pathology slide–based, non-selection CTC detection method that allows assessment of AR-V7 protein presence and localization in individual CTCs [12].

In previous work, we validated a CTC AR-V7 protein assay in the context of use to predict response to ARSi or taxanes in patients with progressing mCRPC when a change in therapy was needed [12]. The AR-V7 CTC positivity criteria used in the study required nuclear-specific protein localization [12], similar to previous reports using AR-V7

protein in metastatic biopsy samples [7–9]. The results showed that the frequency of detection of the AR-V7 protein in the nucleus of CTCs increased by line of therapy, ranging from 3% for the first line to 31% for the third line or greater. Detection of nuclear-specific AR-V7-positive CTCs before therapy was highly specific for lack of response to ARSi but not to taxanes, and demonstrated a statistically significant interaction between improved overall survival (OS) for patients on taxanes compared to ARSi [12]. The clinical benefit shown supports the clinical utility of the nuclear-specific AR-V7 protein assay in informing decisions to administer a taxane over an ARSi.

Of note, the rate of AR-V7 detection in CTCs using the nuclear-specific criteria was lower than the reported mRNA-based detection methods in comparable patient cohorts, averaging 18% of patients with AR-V7-positive CTCs and 29–55% of patients positive for *AR-V7* mRNA transcripts [3,5]. Given the demonstrated importance of the AR-V7 biomarker, analytical and clinical validation of methodologies to measure AR-V7 cannot be understated [13]. However, it was hypothesized that strict nuclear-specific AR-V7 protein scoring in CTCs was too stringent, potentially sacrificing sensitivity to detect more patients who might benefit from taxanes over ARSi. Noting that a proportion of patient samples exhibited predominantly cytoplasmic AR-V7 protein expression, and presumably also expressed *AR-V7* mRNA transcripts, we evaluated whether expanding the AR-V7 scoring criteria to include both nuclear and cytoplasmic AR-V7 localization ("nuclear-agnostic") could identify more patients who would have more favorable outcomes on taxane over ARSi therapy.

2. Patients and methods

2.1. Patient selection

Blood samples from patients with progressing mCRPC who were about to start a new line of ARSi or taxane-based therapy were considered. Patient histories are outlined in Table 1. Castration status (serum testosterone <50 ng/dl) was confirmed via a standard blood panel. All patients signed consent to an institutional review board–approved protocol before sample collection.

2.2. Post-treatment outcomes

For each treatment course, antitumor effects were assessed as treatment-specific post-therapy prostate-specific antigen (PSA) change (PTPC), as previously described [12]. All patients with "sensitive" PTPC had a ≥50% PSA decline at 12

Table 1 – Patient and sample demographics

Patient characteristics	All patients
Number of unique patients	161
Age (yr)	68 (45–91)
Gleason score at diagnosis	8 (5–10)
Primary treatment	
Prostatectomy	77 (48%)
Radiation	28 (18%)
Brachytherapy	7 (4%)
None	49 (30%)

Sample characteristics	All samples	Pre-ARSi	Pre-taxane	p value [a]
Baseline samples (n)	193	130 [b]	63	
Age (yr)	68 (45–91)	68.5 (45–87)	68 (48– 91)	0.4190
Blood age (h)	26 (1–78)	25 (2–78)	27 (1–51)	0.2563
Treatment decision, n (%) [c]				
First	67 (34.7)	56 (43.1)	11 (17.4)	<0.0001
Second	50 (25.9)	40 (30.8)	10 (15.9)	
Third or later	76 (39.4)	34 (26.1)	42 (66.7)	
Prior therapy, n (%) [d]				
None	67 (34.7)	56 (43.1)	11 (17.5)	<0.0001
ARSi only	53 (27.5)	34 (26.2)	19 (30.1)	
Taxane ± other	10 (5.2%	10 (7.7)	0	
ARSi + taxane ± other	63 (32.6)	30 (23.0)	33 (52.4)	
Chemotherapy status, n (%)				
Chemotherapy-naïve	120 (62)	90 (69)	30 (48)	0.0045
Chemotherapy-exposed	73 (38)	40 (31)	33 (52)	
Laboratory results before therapy, median (range)				
PSA (ng/ml)	37.7 (0.1–3728.2)	28.0 (0.1–2454.5)	99.5 (0.1–3728.2)	<0.0001
Alkaline phosphatase (U/l)	111 (25–2170)	99 (25–2170)	181 (49–1816)	<0.0001
Lactate dehydrogenase (U/l)	220 (123–1293)	208 (123–1293)	251.5 (141–1004)	0.0006
Hemoglobin (g/dl)	12.1 (7.0–15.0)	12.4 (7.0–15.0)	11.6 (8.2–14.5)	0.0052
Total CTCs (cells/ml)	2.38 (0–601.5)	1.77 (0–441.3)	4.35 (0–601.5)	0.0040
Clinical survival data				
Median follow-up (mo)	11 (1–30)	16 (2–29)	9 (1–30)	<0.001
Death events (n)	74	42	32	0.016

mCRPC = metastatic castration-resistant prostate cancer; ARSi = androgen receptor signaling inhibitor.
[a] The p values are for the Wilcoxon rank-sum test for continuous variables, and Fisher's exact test for categorical variables.
[b] Two of the 130 pre-ARSi samples were not evaluable, bringing the cohort total to 191 evaluable samples from 161 unique patients.
[c] Only includes standard-of-care life-prolonging therapies and experimental therapies a patient was exposed to after standard androgen deprivation therapy and development of mCRPC disease and before initiation on the baseline therapy.
[d] Prior exposure to life-prolonging therapies in the mCRPC setting. Other therapies included antibody drug conjugate, experimental therapies, and combinations. ARSi therapies included abiraterone acetate, enzalutamide, and apalutamide. Taxane therapies included docetaxel, cabazitaxel, and paclitaxel. A total of 17 patients received both ARSi and taxane therapies for one or more occurrences.

weeks, and those with "resistant" PTPC did not achieve a 50% decline. For taxane patients, the maximum PSA decline may occur after 12 weeks, in which case the later date was used. OS was calculated from initiation of therapy to death from any cause, with right-censoring for patients alive at last follow-up. For patients who were followed for more than one therapy, samples from all treatment decisions before the last were right-censored, with time calculated from initiation of therapy to date of next draw. The choice of therapy was made by the treating physician without knowledge of AR-V7 status.

2.3. CTC collection

Blood (7.5 ml) was collected in Streck tubes and processed at Memorial Sloan Kettering Cancer Center or shipped to Epic Sciences and processed within 48 hours. After red blood cell lysis, approximately 3 000 000 nucleated cells were dispensed onto 10–16 glass microscope slides and

placed at −80 °C as previously described [14,15]. Sample processing and testing were conducted in laboratories following Clinical Laboratory Improvement Amendments (CLIA) regulations.

2.4. CTC immunofluorescent staining and analysis

CTC identification and characterization were performed as previously described [14,16]. In brief, pathology slides created from all nucleated cells in blood samples from mCRPC patients underwent automated immunofluorescent staining for DNA, cytokeratins (CK), CD45, and AR-V7 (Fig. 1) using a rabbit monoclonal anti-AR-V7 antibody (EPR15656, Abcam, Burlingame, CA, USA). The AR-V7 antibody specificity was comprehensively validated via western blots and single-cell PCR, as well as tissue microarrays (TMAs) containing malignant, tumor-adjacent, and healthy tissue samples, by a third party [12]. Fluorescent microscopes imaged every nucleated object on the
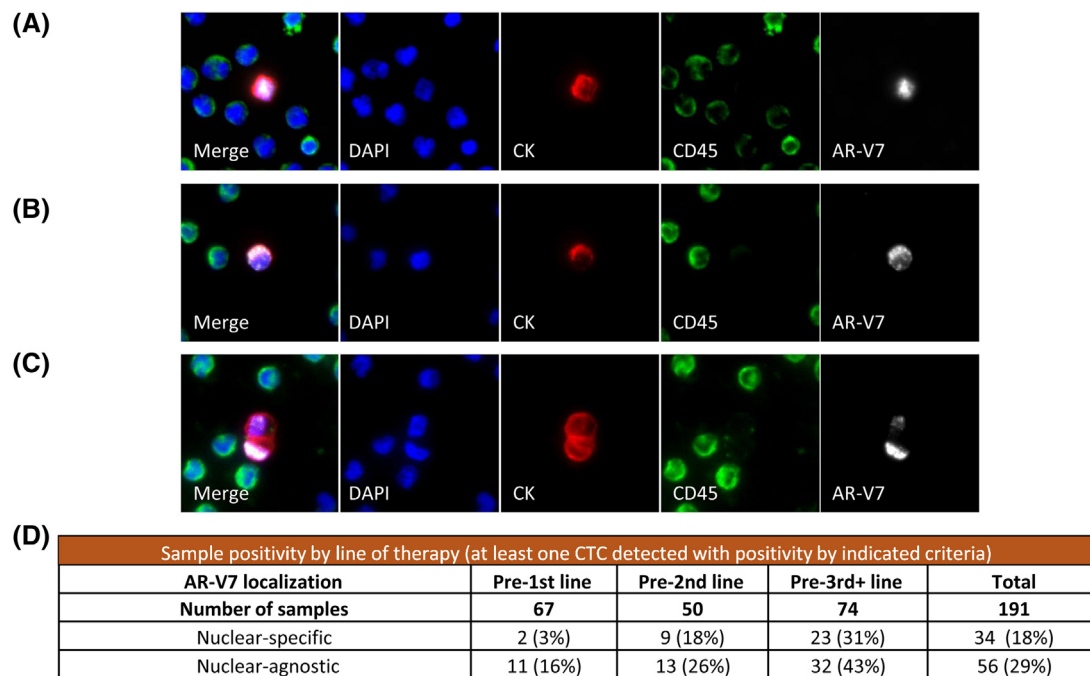
Fig. 1 – AR-V7 protein can be localized to the nucleus and/or cytoplasm of circulating tumor cells (CTCs). Example images show (A) individual CTCs with AR-V7 nuclear-specific localization, (B) CTC with predominantly cytoplasmic AR-V7 staining and (C) CTC cluster with varying AR-V7 expression. (D) Sample-level positivity rates for these CTCs. DAPI = 4′,6-diamidino-2-phenylindole; CK = cytokeratin.

Sample positivity by line of therapy (at least one CTC detected with positivity by indicated criteria)				
AR-V7 localization	Pre-1st line	Pre-2nd line	Pre-3rd+ line	Total
Number of samples	67	50	74	191
Nuclear-specific	2 (3%)	9 (18%)	23 (31%)	34 (18%)
Nuclear-agnostic	11 (16%)	13 (26%)	32 (43%)	56 (29%)

slides, and morphology algorithms were used for identification of CTCs among nucleated blood cells on the slides. Classification as a CTC required an intact nucleus (DNA dye, 4′,6-diamidino-2-phenylindole [DAPI]), lack of CD45 staining (a blood lineage marker), and a distinct morphology from surrounding white blood cells. Clinical laboratory scientists (licensed in California) conducted final quality control of CTC identification and subcellular biomarker localization without knowledge of patient outcome.

Two different AR-V7 scoring criteria were used: one requiring nuclear-specific localization of a signal on top of a threshold cellular intensity (nuclear-specific) [12], and a second requiring a threshold signal intensity independent of localization (nuclear-agnostic). CTC images are nonconfocal images of whole cells (not sectioned, like in tissue), and diffuse localization in both the cytoplasm and nucleus cannot necessarily be interpreted as a signal from proteins that have entered the nucleus of CTCs. Therefore, the nuclear-specific criterion requires a nondiffuse signal only in the nucleus to be considered positive. Samples with at least one AR-V7-positive CTC that met these criteria were considered AR-V7-positive.

2.5. Statistical analyses

Descriptive statistics were used to summarize patient demographics and clinical characteristics overall, by line of therapy, and by type of drug administered. Wilcoxon rank-sum tests were used to compare treatment groups. Time-to-event outcome measures were evaluated using the Kaplan-Meier method. Differences in time-to-event outcomes between samples negative or positive for AR-V7

scoring criteria were evaluated using the log-rank test, with hazard ratios estimated from univariable and multivariable Cox proportional hazards (PH) regression methods.

Multivariable Cox PH models included pretherapy measures: PSA, alkaline phosphatase, lactate dehydrogenase, hemoglobin levels, line of therapy, type of therapy, and AR-V7 status. Using a stepwise selection method based on univariate significance ($p < 0.05$) and the global score χ^2 statistic, the presence of liver and/or lung metastases, patient age, and albumin were excluded from the final model. These are the same model construction criteria previously utilized [12], but with the additional follow-up time and death events, a few of the covariates included changed from the previous model. All statistical tests were two-sided and were performed at the 5% significance level. KNIME [17] was utilized for data consolidation, and all statistical analyses were performed with the R v3.2.0 packages 'survival' and 'stats'.

3. Results

The cohort included 191 evaluable pretherapy samples, including 128 pre-ARSi and 63 pre-taxane samples, from 161 unique mCRPC patients [12]. Of the unique patients, 130 (80.8%) had a single therapy, 30 (18.6%) had two therapies (60 samples), and one (0.6%) had three therapies. A total of 74 patients succumbed to their disease.

3.1. AR-V7 protein can be localized to the cytoplasm or nucleus of CTCs

AR-V7 protein localization was identified in the nucleus (Fig. 1A), cytoplasm (Fig. 1B), and in single CTCs within CTC

Nuclear-Agnostic = Non-nuclear + nuclear AR-V7 positive
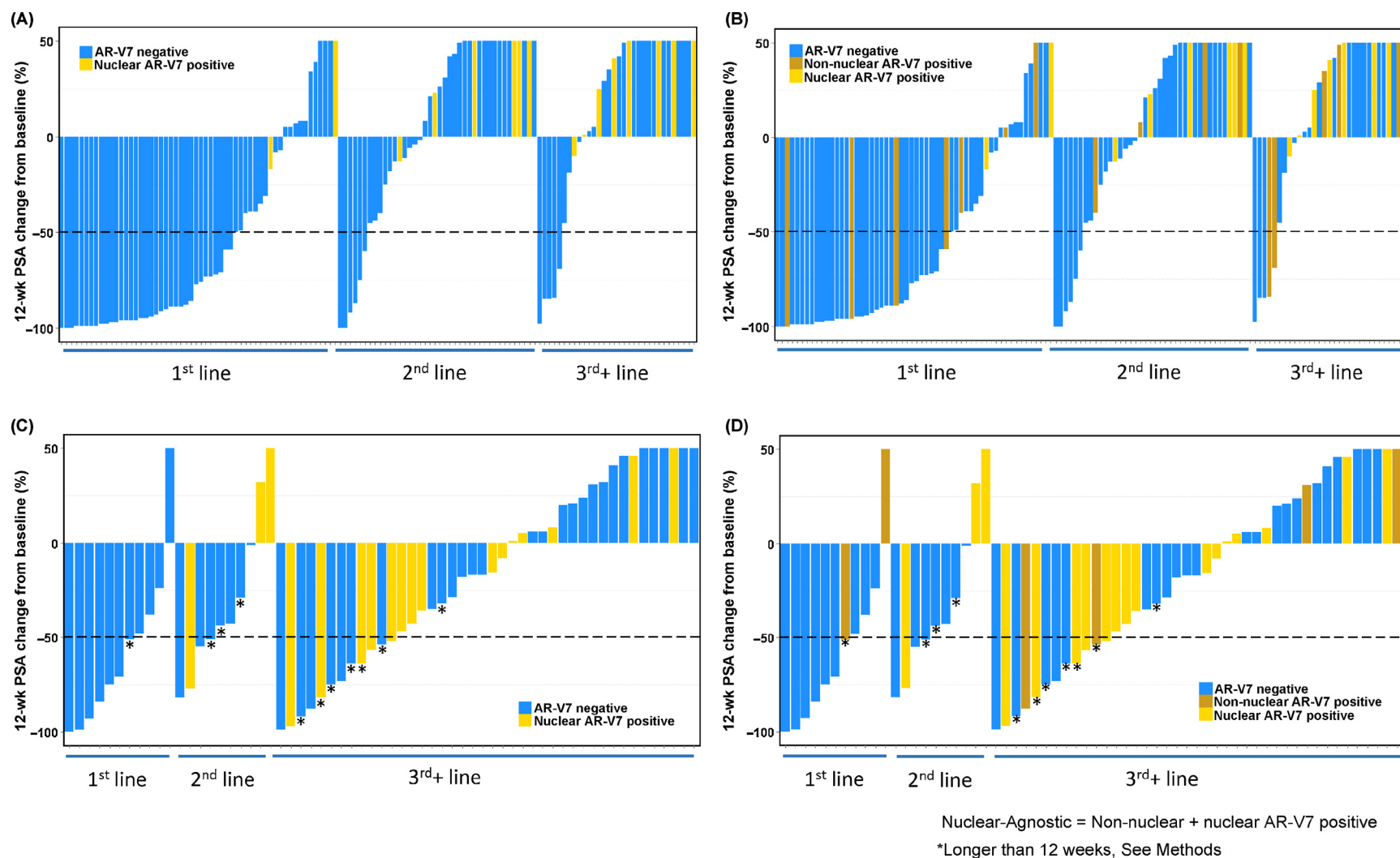
*Longer than 12 weeks, See Methods

Fig. 2 – Nuclear-specific AR-V7 localization is required for specificity of PTPC for patients on ARSi therapy. Waterfall plots of the percentage change in PSA at 12 weeks on therapy, stratified by the number of previous lines of therapy. Each bar represents an individual patient. (A) Pre-ARSi AR-V7 status according to nuclear-specific localization only. (B) Pre-ARSi AR-V7 status according to nuclear-agnostic localization. (C) Pre-taxane AR-V7 status according to nuclear-specific localization only. (D) Pre-taxane AR-V7 status according to nuclear-agnostic localization. PSA = prostate-specific antigen; PTPC = post-therapy PSA change; ARSi = androgen receptor signaling inhibitor. * Longer than 12 weeks (see the text).

clusters (Fig. 1C). The incidence of nuclear-specific AR-V7-positive CTCs and nuclear-agnostic AR-V7-positive CTCs both increased with the line of therapy (Fig. 1D).

3.2. Nuclear-specific AR-V7 localization is required for the specificity of PSA response prediction for patients on ARSi

Of the 128 pre-ARSi samples in the cohort, 47 (37%) showed sensitive and 81 (63%) showed resistant PTPC. With the nuclear-specific scoring criterion, zero of 47 (0%) sensitive and 16 of 81 (20%) resistant pre-ARSi samples were AR-V7-positive (Fig. 2A). With the nuclear-agnostic scoring criterion, six of 47 (13%) sensitive and 26 of 81 (32%) resistant pre-ARSi samples were AR-V7-positive (Fig. 2B). Neither scoring criterion showed specificity for sensitive or resistant PTPC on taxane therapy (Fig. 2C,D).

3.3. Nuclear-specific AR-V7 localization improves prognostication of OS for patients on ARSi

Pre-ARSi samples with AR-V7-positive CTCs according to either the nuclear-specific (Fig. 3A) or nuclear-agnostic

criterion (Fig. 3B) had less favorable OS compared to AR-V7-negative samples. However, the magnitude of the difference in OS when using nuclear-specific localization was much greater (HR 10.4, $p < 0.0001$ vs HR 4.3, $p < 0.0001$) and the median OS was shorter (4.6 vs 10.0 mo). Pre-taxane samples with AR-V7-positive CTCs according to either criterion had poorer OS compared to those without (HR 3.2, $p = 0.0005$ vs HR 3.2, $p = 0.0007$) and similar median OS (8.9 vs 9.2 mo).

3.4. Nuclear-specific AR-V7 localization is necessary for prediction of treatment-specific reduction in risk of death

A higher proportion of patients receiving taxanes were on their third or later line of therapy compared to those on ARSi (67% vs 25%). Despite this, patients with nuclear-specific AR-V7 positivity had better median survival times on taxane therapy than on ARSi (8.9 vs 4.6 mo).

Multivariate analyses, updated from that previously reported [12] incorporating the additional follow-up time and more death events, again showed that the interaction between the presence of pretherapy nuclear-specific AR-
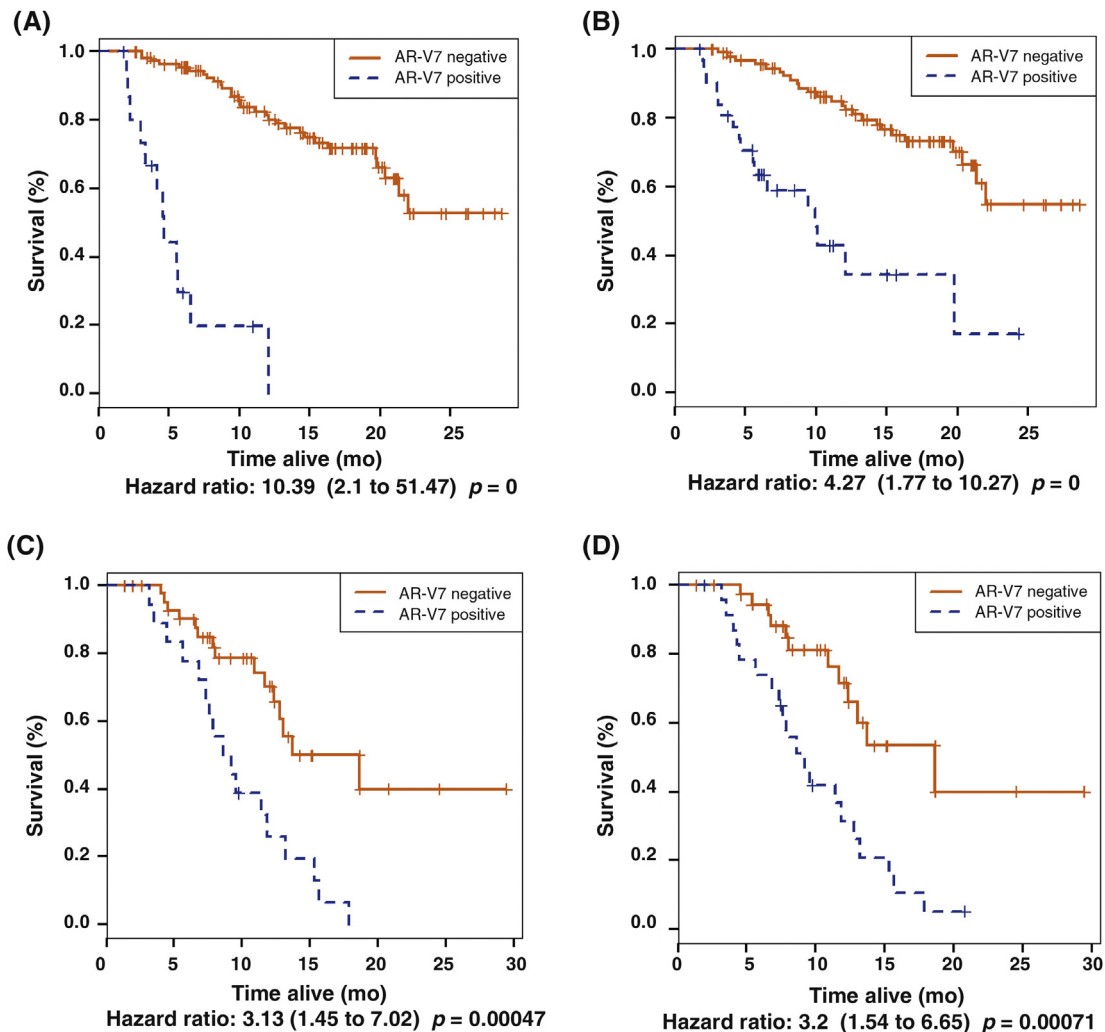

Fig. 3 – Nuclear-specific AR-V7 localization improves prognostication of overall survival (OS) for patients on ARSi therapy. OS is shown for patient samples stratified by pre-ARSi AR-V7 status ($n = 128$) determined according to (A) nuclear-specific localization and (B) nuclear-agnostic localization and stratified by pre-taxane AR-V7 status ($n = 63$) determined according to (C) nuclear-specific localization and (D) nuclear-agnostic localization. ARSi = androgen receptor signaling inhibitor.

(A) Tratment-specific hazard of death (overall survival)

Favors taxanes — Favors ARSi

All samples (*n* = 191)

(Nuclear-specific) AR-V7 positive samples only (*n* = 34)

0.0625 0.125 0.25 0.5 1 2 4
Hazard ratio (95% CI)

AR-V7 : therapy interaction: multivariable Cox PH model		
	Comparison	Hazard ratio (95% CI)
AR-V7 status & therapy	All patients: taxane vs ARSi	0.92 (0.45 to 1.89)
	Nuclear-specific AR-V7(+): taxane vs ARSi	**0.24 (0.078 to 0.79)**

Multivariable Cox proportional hazard analysis of predictors of overall survival		
Effect	*p* value	HR (95% CI)
Line of therapy (1st/2nd vs 3rd or later)	**0.048**	**0.53 (0.28 to 0.99)**
PSA pretherapy (> 37.7 vs ≤37.7)	0.87	1.06 (0.52 to 2.11)
Alkaline phos. pretherapy (>130 vs ≤130)	0.22	1.49 (0.79 to 2.82)
LDH pretherapy (>250 vs ≤250 U/l)	0.058	1.86 (0.98 to 3.52)
Hemoglobin pretherapy (>12 vs ≤12 g/dl)	0.060	0.52 (0.26 to 1.02)
Therapy (taxane vs ARSi)	0.82	0.92 (0.45 to 1.89)
Nuclear AR-V7 status (positive vs negative)	**0.045**	**2.38 (1.02 to 5.53)**
Nuclear AR-V7 (positive) taxane interaction	**0.019**	**0.24 (0.078 to 0.79)**

(B) Tratment-specific hazard of death (overall survival)

Favors taxanes — Favors ARSi

All samples (*n* = 191)

(Nuclear-agnostic) AR-V7 positive samples only (*n* = 56)

0.0625 0.125 0.25 0.5 1 2 4
Hazard ratio (95% CI)

AR-V7 : therapy interaction: multivariable Cox PH model		
	Comparison	Hazard ratio (95% CI)
AR-V7 status & therapy	All Patients: Taxane vs ARS	0.77 (0.37 to 1.58)
	Nuclear-agnostic AR-V7(+): taxane vs ARSi	0.73 (0.26 to 2.04)

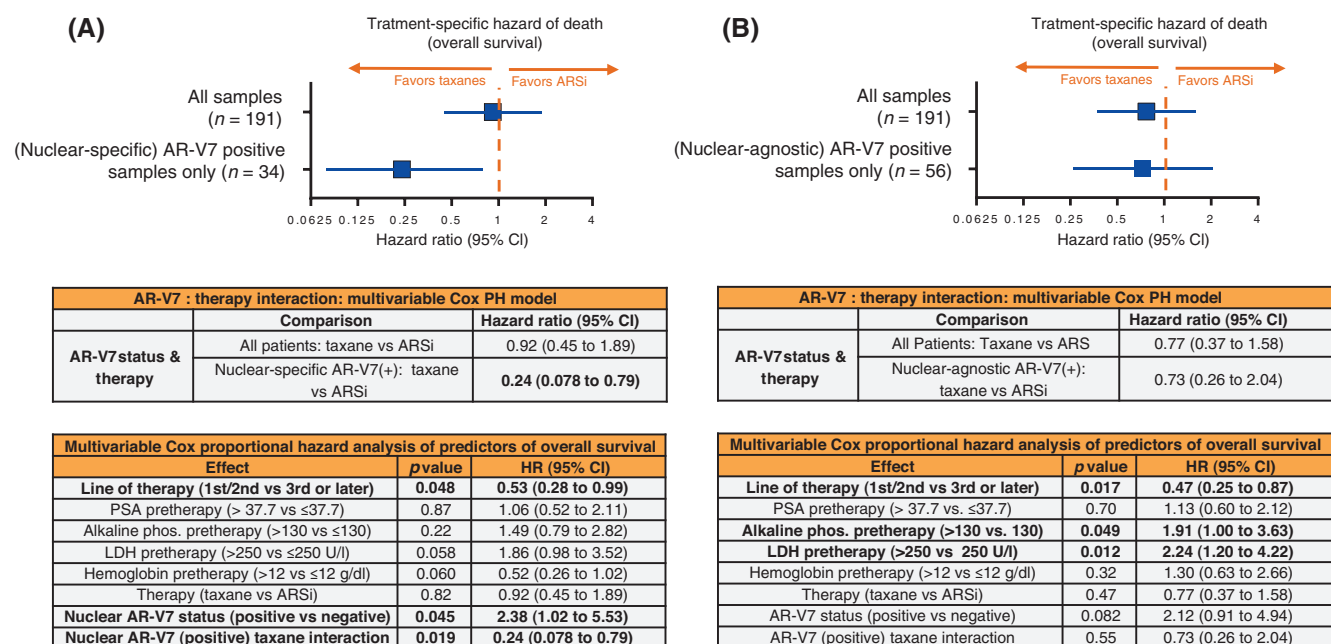| Multivariable Cox proportional hazard analysis of predictors of overall survival		
Effect	*p* value	HR (95% CI)
Line of therapy (1st/2nd vs 3rd or later)	**0.017**	**0.47 (0.25 to 0.87)**
PSA pretherapy (> 37.7 vs. ≤37.7)	0.70	1.13 (0.60 to 2.12)
Alkaline phos. pretherapy (>130 vs. 130)	**0.049**	**1.91 (1.00 to 3.63)**
LDH pretherapy (>250 vs 250 U/l)	**0.012**	**2.24 (1.20 to 4.22)**
Hemoglobin pretherapy (>12 vs ≤12 g/dl)	0.32	1.30 (0.63 to 2.66)
Therapy (taxane vs ARSi)	0.47	0.77 (0.37 to 1.58)
AR-V7 status (positive vs negative)	0.082	2.12 (0.91 to 4.94)
AR-V7 (positive) taxane interaction	0.55	0.73 (0.26 to 2.04)

Fig. 4 – Nuclear-specific AR-V7 localization is necessary for prediction of a treatment-specific reduction in risk of death. Individual covariates were tested for additive power in predicting outcome using a Cox proportional hazards model. The *p* values are the result of compensating for the other factors listed. The interaction of therapy and AR-V7 status was further investigated using a multivariable Cox proportional hazards model. The forest plot shows the hazard ratio and 95% confidence interval (CI) for (A) a cohort evaluated for AR-V7 positivity utilizing nuclear-specific localization and (B) a cohort evaluated for positivity utilizing nuclear-agnostic localization. ARSi = androgen receptor signaling inhibitor; PSA = prostate-specific antigen; phos. = phosphatase; LDH = lactate dehydrogenase.

V7-positive CTCs and the therapy administered was the most significant factor influencing OS. Patients classified as having AR-V7-positive CTCs using the nuclear-specific scoring criterion had a significantly lower risk of death on taxanes (HR 0.24, 95% CI 0.078–0.79; *p* = 0.019; Fig. 4A). Using an identically constructed multivariate model and changing only the criterion for AR-V7 positivity to nuclear-agnostic, both AR-V7 and the interaction between AR-V7 and therapy lost significance (HR 0.73, 95% CI 0.26–2.04; *p* = 0.55; Fig. 4B).

4. Discussion

Within the clinical context of choosing an ARSi versus taxane therapy for progressing mCRPC, most patients prefer the former given the ease of administration and the more favorable safety profile. The decision to choose a cytotoxic drug is therefore not taken lightly. Thus, it is essential that the false positive rate of any therapy-guiding predictive biomarker at this decision point in mCRPC be as low as possible. Here, the defined biomarker was based on detection of nuclear-specific AR-V7 protein in CTCs collected from mCRPC patients before ARSi or taxane treatment [12]. We showed the significance and clinical utility of nuclear-specific versus nuclear-agnostic AR-V7-positive localization in terms of improved specificity of resistant PTPC prediction for patients on ARSi, combined with more favorable survival demonstrated using an alternative, US Food and Drug Administration–approved life-prolonging therapeutic class (taxanes). The results are

consistent with the known biology of the AR-V7 splice variant.

In the entire cohort, containing both pre-ARSi and pre-taxane samples, 34 of 191 (18%) were positive for AR-V7 using the nuclear-specific protein criterion, and 56 of 191 (29%) using the nuclear-agnostic scoring criterion. Among the 128 pre-ARSi samples, 16 were AR-V7-positive according to the nuclear-specific and 32 were positive according to the nuclear-agnostic scoring criterion, for which the median survival was 4.6 and 10 months, respectively (Fig. 3). None of the 16 nuclear-specific AR-V7-positive samples showed sensitive PTPC. However, when the criteria was expanded to nuclear-agnostic, six of the additional 16 (38%) samples classified as AR-V7-positive showed sensitive PTPC, which represents a false-positive result, relative to the nuclear-specific criterion, that could potentially deny patients a minimally toxic, safe, life-prolonging therapy (Fig. 2).

Critically, with additional follow-up time and death events, the nuclear-specific AR-V7 protein scoring criterion retained a significant treatment-specific reduction in risk of death for patients on taxanes, and was the most predictive pretreatment clinical feature influencing patient survival (HR 0.24, 95% CI 0.078–0.79; *p* = 0.019; Fig. 4A). The nuclear-agnostic AR-V7 scoring criterion had less magnitude for prognosticating outcome for patients on ARSi therapy (Fig. 3) and failed to show a treatment-specific interaction (Fig. 4B), indicating that patients considered positive according to this criterion were not predicted to have improved OS on an already-defined alternative therapy (taxanes).

The nuclear-specific AR-V7 protein localization requirement for positivity in studies exploring the relationship between biomarker presence and outcome is based on the recognition that downstream AR signaling does not occur until AR transcriptional elements bind to DNA [7–9]. Full-length AR protein has a nuclear localization sequence motif that is exposed via conformational changes upon androgen binding, allowing nuclear translocation necessary for DNA binding [18,19]. AR-V7 is truncated after exon 3, and as a result lacks the complete nuclear localization sequence of full-length AR [20,21]. Despite this, the AR-V7 protein does localize to the nucleus of rapidly growing cells in culture [22], in transgenic prostate cancer models [23], and in human solid tumor tissues [3,7–9,24]. Exactly how localization of this truncated protein occurs is an area of active research, but it may be related to the unique C-terminal sequence present in the AR-V7 molecule [22].

The patients included in this study were treated in a routine clinical practice setting with agents that are already FDA approved. Overall, 12% of samples had AR-V7 signal that was not nuclear-specific, a scoring criteria that did not predict resistance to ARSi with the specificity needed at this clinical decision point. Only nuclear-specific AR-V7 protein in CTCs demonstrated therapy-changing clinical utility through both a low false-positive rate (PTPC) and significantly lower risk of death on taxanes (multivariate, OS), which, in combination, justify a change of therapy in this clinical decision.

Our results highlight an important limitation of mRNA-based approaches in CTCs: the inability to determine whether the AR-V7 message has been translated into protein and, if so, whether the protein is present in the nucleus, where it is known to function as an oncogenic driver of tumor growth. A prospective clinical trial testing the predictive capacity of pooled CTC *AR-V7* mRNA and nuclear-specific AR-V7 protein in matched samples is ongoing (NCT02269982).

Despite high specificity for predicting resistant PTPC, the presence of nuclear-specific AR-V7 identified only 16 of 81 (20%) of the samples that showed resistant PTPC. This result is not surprising given other mechanisms of resistance to ARSi that have been reported beyond AR-V7, including signaling driven via *AR* gene mutations [25], reciprocal feedback via PTEN loss [15,26], and the development of a neuroendocrine phenotype of prostate cancer [27]. Biomarker assays that identify other resistance mechanisms will need to be analytically and clinically validated with the same rigor as that applied to AR-V7.

5.   Conclusions

Expanding the AR-V7 protein scoring criteria for CTCs from nuclear-specific to include both nuclear and/or cytoplasmic AR-V7 localization ("nuclear-agnostic") confirmed that nuclear-specific protein localization is required to reliably inform treatment selection between ARSi and taxanes using a CTC AR-V7 biomarker.

Author contributions: Howard I. Scher had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study concept and design: Scher, Dittamore.
Acquisition of data: Scher, Graf, Schreiber, McLaughlin, Lu, Dittamore.
Analysis and interpretation of data: Scher, Graf, Schreiber, McLaughlin, Lu, Dittamore.
Drafting of the manuscript: Scher, Graf, Dittamore.
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Statistical analysis: Graf, Johnson, Heller.
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Supervision: Scher, Dittamore.
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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.eururo.2016.11.024.

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