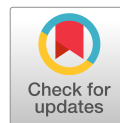


# Prospective Multicenter Study of Circulating Tumor Cell AR-V7 and Taxane Versus Hormonal Treatment Outcomes in Metastatic Castration-Resistant Prostate Cancer

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**PURPOSE** Androgen receptor splice variant 7 (AR-V7) detection in circulating tumor cells (CTCs) is associated with a low probability of response and short progression-free (PFS) and overall survival (OS) in men with metastatic castration-resistant prostate cancer (mCRPC) treated with enzalutamide or abiraterone. However, it is unclear whether such men benefit from taxane chemotherapy.

**PATIENTS AND METHODS** PROPHECY is a multicenter prospective blinded study of patients with poor-risk mCRPC starting abiraterone or enzalutamide and observed through subsequent progression and taxane chemotherapy. We assessed AR-V7 status using the Johns Hopkins modified AdnaTest CTC AR-V7 messenger RNA assay and the Epic Sciences CTC nuclear-localized AR-V7 protein assay before treatment. The primary objective was to validate the independent prognostic value of CTC AR-V7 status based on radiographic/clinical PFS. OS, confirmed prostate-specific antigen (PSA), and objective radiologic responses were secondary end points.

**RESULTS** We enrolled 118 men with mCRPC treated with abiraterone or enzalutamide, 51 of whom received subsequent docetaxel or cabazitaxel. Pretreatment CTC AR-V7 status by the Johns Hopkins and Epic Sciences assays was independently associated with worse PFS (hazard ratio [HR], 1.7; 95% CI, 1.0 to 2.9 and HR, 2.1; 95% CI, 1.0 to 4.4, respectively) and OS (HR, 3.3; 95% CI, 1.7 to 6.3 and HR, 3.0; 95% CI, 1.4 to 6.3, respectively) and a low probability of confirmed PSA responses, ranging from 0% to 11%, during treatment with abiraterone or enzalutamide. At progression, subsequent CTC AR-V7 detection was not associated with an inferior PSA or radiographic response or worse PFS or OS with subsequent taxane chemotherapy after adjusting for CellSearch CTC enumeration and clinical prognostic factors.

**CONCLUSION** Detection of AR-V7 in CTCs by two different blood-based assays is independently associated with shorter PFS and OS with abiraterone or enzalutamide, but such men with AR-V7–positive disease still experience clinical benefits from taxane chemotherapy.

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## ASSOCIATED CONTENT

### Appendix protocol

Author affiliations and support information (if applicable) appear at the end of this article.

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## INTRODUCTION

Men with metastatic castration-resistant prostate cancer (mCRPC) have improved survival when treated with androgen receptor (AR) signaling inhibitor enzalutamide or abiraterone, as well as with taxane chemotherapy, including docetaxel and cabazitaxel.<sup>1-4</sup> After progression during treatment with an AR inhibitor and docetaxel, cabazitaxel improves survival in men with mCRPC when compared with a second AR inhibitor.<sup>5</sup> In men with poor-risk clinical features or prior exposure to an AR inhibitor, response rates to a second AR inhibitor are low, and progression-free (PFS) and overall survival (OS) times are short because of cross-resistance.<sup>5-8</sup>

Although some men may derive clinical benefit from a second-line AR inhibitor, clinical features alone are unable to predict cross-resistance.<sup>6</sup> Therefore, predictive biomarkers are needed to optimize treatment selection.

We recently demonstrated in a multicenter prospective study (PROPHECY) that circulating tumor cell (CTC) AR splice variant 7 (AR-V7) expression at the RNA and protein levels, as determined by either of two analytically and clinically validated RNA- or nuclear protein–based assays, is strongly associated with short PFS and OS with AR therapy in poor-risk patients with mCRPC and patients with mCRPC receiving second-line

## CONTEXT

### Key Objective

We sought to prospectively validate the association between circulating tumor cell (CTC) androgen receptor splice variant 7 (AR-V7) detection using two analytically validated assays with poor clinical outcomes with abiraterone or enzalutamide in men with metastatic castration-resistant prostate cancer (mCRPC) and determine whether such men with AR-V7–positive disease can still benefit from taxane chemotherapy as an alternative approach.

### Knowledge Generated

CTC AR-V7 status by either the Johns Hopkins or Epic Sciences assay was prospectively validated in this multicenter trial of outcomes with abiraterone or enzalutamide, including short progression-free and overall survival and low response rates, but such men still benefited from subsequent taxane chemotherapy, similar to men with AR-V7–negative mCRPC.

### Relevance

CTC AR-V7 testing, in the context of other clinical parameters, can help inform treatment decisions for men with poor-risk mCRPC. CTC AR-V7 status explains some of the resistance to AR therapy in men with mCRPC, but AR-V7 heterogeneity within patients and over time suggests broad and novel approaches are needed to overcome resistance in such patients.

treatment,<sup>9</sup> confirming prior single-institution and retrospective studies.<sup>10-12</sup> Prior work has suggested that AR-V7–positive PCs may also be resistant to taxane chemotherapy, but clinical data suggest that AR-V7–positive disease may still be compatible with responsiveness to taxane chemotherapy.<sup>11-13</sup>

Here we report the final results of the prospective double-blinded multicenter PROPHECY trial, in which men with mCRPC were observed longitudinally, with CTC AR-V7 testing performed before AR inhibitor treatment and again at AR therapy progression before taxane chemotherapy, and observed for long-term clinical outcomes.

## PATIENTS AND METHODS

### Patients

At five clinical sites, we prospectively enrolled men with progressive high-risk mCRPC initiating standard-of-care treatment with enzalutamide or abiraterone. Prior exposure to enzalutamide or abiraterone was permitted for men who were planning to receive the alternative agent. Eligibility information was published previously; at least two poor-prognosis clinical factors were required<sup>9,14,15</sup> (Table 1). All patients provided written informed consent under institutional review board approval at all participating centers within the Department of Defense–funded Prostate Cancer Clinical Trial Consortium.<sup>16</sup>

### Study Design and Assessments

PROPHECY is a prospective multicenter study evaluating the ability of baseline (pretreatment) AR-V7 status in CTCs to predict treatment outcomes with abiraterone or enzalutamide as well as subsequent taxane chemotherapy upon disease progression. Patients treated with prior enzalutamide were offered abiraterone and vice versa, and patients without prior abiraterone or enzalutamide exposure were treated according to physician choice. Upon disease progression, patients were offered standard-of-care taxane

chemotherapy with either docetaxel or cabazitaxel. All authors vouch for the completeness of data and data integrity and for the fidelity of the study to the clinical protocol (Data Supplement). Peripheral blood samples for analysis of CTC number and CTC AR-V7 status were obtained from eligible patients at prespecified time points: baseline before abiraterone or enzalutamide initiation; at clinical, radiographic, or biochemical progression during treatment with abiraterone or enzalutamide; and at progression during taxane-based chemotherapy. CellSearch (Menarini Silicon Biosystems, Huntington Valley, PA) CTC enumeration was performed at these time points for all patients and processed in a College of American Physicians/Clinical Laboratory Improvement Amendments–approved central laboratory at Memorial Sloan Kettering Cancer Center.<sup>17,18</sup>

Treatment selection was at the discretion of the treating physician without knowledge of AR-V7 status. Laboratory investigators were blinded to clinical outcomes. All data sets were separately sent to the study statistician (S.H.), who unblinded the data after database lock.

### Analysis of CTCs

CTCs were analyzed in two central laboratories, each blinded to the results of the other. CTC identification by the Epic Sciences CTC nuclear AR-V7 assay and CTC heterogeneity evaluations were performed as described previously.<sup>9,11,12</sup> The Johns Hopkins AR-V7 modified AdnaTest was performed as previously described using validated methods.<sup>10,13,19-21</sup> Established standard operating procedures for sample collection, overnight shipping, processing, and analysis were followed by study sites and the central laboratory at the Johns Hopkins University.<sup>10,13,19-21</sup>

### Clinical Outcomes

The primary efficacy end point in the trial was PFS during abiraterone or enzalutamide therapy, defined from date of

**TABLE 1.** Baseline Pretaxane Characteristics of Patients Enrolled According to Epic Sciences AR-V7 Status Based on AR-V7 Detection After Abiraterone or Enzalutamide and Before Taxane

Characteristic	Epic Sciences AR-V7 Status (%)	
	Positive (n = 8)	Negative (n = 42)
Age, years		
Median	72	72
Range	62-79	45-87
Race		
White	75	86
Black	25	7
Other	0	7
Gleason sum 8-10	88	60
KPS $\geq$ 90	46	71
Poor-risk feature		
Hemoglobin < 12 g/dL	38	31
Elevated ALP	50	40
Elevated baseline serum LDH	63	33
Presence of liver metastasis	13	12
Presence of clinically significant pain requiring opiates	25	29
CellSearch CTCs, cells per 7.5 mL		
$\geq$ 5	50	33
Median, % (n = 32)	96.5	6.5
Range	1-850	0-325
PSADT < 3 months	100	57
Prior docetaxel for mHSPC	25	21
> 20 bone metastases	75	40
PSA, ng/mL		
Median	121	90
Range	6-881	0.2-1,569
Taxane used		
Docetaxel	87	81
Cabazitaxel	13	19
Time from AR-V7 sample to chemotherapy start, months		
Median	1.3	5.2
Range	0-17	0-33

Abbreviations: ALP, alkaline phosphatase; AR-V7, androgen receptor splice variant 7; CTC, circulating tumor cell; KPS, Karnofsky performance score; LDH, lactate dehydrogenase; mHSPC, metastatic hormone-sensitive prostate cancer; PSA, prostate-specific antigen; PSADT, prostate-specific antigen doubling time.

registration to clinical or radiographic progression or death, whichever occurred first. Radiographic progression was assessed at each center using PCWG3-modified RECIST (version 1.1) soft tissue and bone scan criteria.<sup>22</sup> Clinical progression was defined as a composite end point including

death, escalating pain or other symptomatic progression, initiation of new systemic therapy, or a skeletal-related event. Secondary clinical end points included confirmed  $\geq$  50% prostate-specific antigen (PSA) decline, radiographic response per RECIST (version 1.1),<sup>23</sup> and OS, and these same outcomes for subsequent taxane chemotherapy.

### Data Analysis

The primary objective of PROPHECY was to validate that patients with pretreatment AR-V7–negative CTCs have prolonged PFS with abiraterone or enzalutamide compared with AR-V7–positive patients. The prespecified secondary analysis reported here focused on assessing the association between CTC AR-V7 status after abiraterone or enzalutamide and subsequent pretaxane therapy by two independent assays and outcomes with taxane chemotherapy. With longer follow-up, analyses of the time-to-event end points (PFS and OS) were also updated, and patients who received taxane chemotherapy were observed for response, radiographic progression, and death. Details regarding study design have been published elsewhere.<sup>9</sup>

Patients with no evaluable CTCs were considered AR-V7 negative, and all patients with sufficient blood collection were analyzed regardless of their evaluable CTCs. In secondary analyses, the proportional hazards model was used for assessing the prognostic value of AR-V7 status for PFS and OS after adjusting for validated prognostic factors (risk score).<sup>14,15,24</sup>

For taxane outcomes, PFS was defined from the date of starting chemotherapy to clinical or radiographic progression or death, whichever occurred first. The Kaplan-Meier product-limit approach was used to estimate median PFS and OS distributions by AR-V7 status. No power or sample size analysis is provided for this secondary descriptive analysis, because PROPHECY was powered around the primary objective and previously reported.

### RESULTS

Between May 2015 and January 2017, we prospectively enrolled 118 men with high-risk mCRPC who were initiating treatment with abiraterone, enzalutamide, or both at one of five academic medical centers. Baseline characteristics of the cohort were previously published and included 36 men who had received prior enzalutamide or abiraterone therapy.<sup>9</sup> Of these 118 men treated with subsequent AR inhibitor therapy, 51 experienced progression and were treated with taxane chemotherapy, including docetaxel (n = 42) or cabazitaxel (n = 9; CONSORT diagram provided in Appendix Fig A1). Baseline characteristics of these patients at the time of taxane chemotherapy are listed in Table 1 based on Epic Sciences AR-V7 status and Appendix Table A1 based on Johns Hopkins AR-V7 status. As of the final database lock on October 15, 2019, median follow-up times from study registration (before abiraterone or enzalutamide treatment) and taxane initiation were 35 and 23 months, respectively. Of the PROPHECY cohort of 118 men, 105

**TABLE 2.** Summary of Clinical Outcomes of Patients Treated With Abiraterone or Enzalutamide According to Pretreatment AR-V7 Status

Abiraterone or Enzalutamide Outcome <sup>a</sup>	Johns Hopkins AR-V7 Status (n = 116)		Epic Sciences AR-V7 Status (n = 107)	
	Positive (n = 28; 24%)	Negative (n = 88; 76%)	Positive (n = 11; 10%)	Negative (n = 96; 90%)
PFS, months				
Median	3.7	7.2	3.7	6.0
Range	2.1-4.8	5.5-8.4	1.8-5.9	3.9-7.8
HR (95% CI)	2.3 (1.5 to 3.5)		2.2 (1.2 to 4.3)	
Adjusted HR (95% CI) <sup>b</sup>	1.7 (1.0 to 2.9)		2.1 (1.0 to 4.4)	
OS, months				
Median	11.1	24.8	8.4	20.5
Range	6.9-12.1	19.2-28.4	3.6-30.5	17.7-25.6
HR (95% CI)	2.8 (1.7 to 4.5)		3.1 (1.6 to 5.9)	
Adjusted HR (95% CI) <sup>b</sup>	3.3 (1.7 to 6.3)		3.0 (1.4 to 6.3)	
≥ 50% confirmed PSA decline (95% CI), %	11 (2 to 28)	30 (20 to 40)	0 (0 to 28)	26 (18 to 36)
Radiographic response (CR or PR by RECIST), %	7	9	0	6

Abbreviations: AR-V7, androgen receptor splice variant 7; CR, complete response; HR, hazard ratio; OS, overall survival; PFS, progression-free survival; PR, partial response; PSA, prostate-specific antigen.

<sup>a</sup>Before taxane therapy.

<sup>b</sup>Adjusted for CellSearch circulating tumor cell enumeration and Halabi risk score (which includes PSA level, alkaline phosphatase, lactate dehydrogenase, opioid analgesic use, Eastern Cooperative Oncology Group performance status, albumin, hemoglobin, and disease metastatic site [visceral, bone, node only]).

experienced progression during abiraterone or enzalutamide treatment, in whom 101 of the progression events were radiographic or clinical progression events and four were based on rapid PSA rises that led to a change in systemic therapy; 92 men (78%) died. Of the 51 men treated with taxane chemotherapy, 50 experienced progression, based on radiographic or clinical progression in 48, and 41 died.

### AR-V7 Status and Efficacy of AR Signaling Inhibition

The primary end point of PFS during AR inhibitor therapy with abiraterone or enzalutamide was different in men with AR-V7–positive as compared with AR-V7–negative disease for both AR-V7 assays when assessed before treatment, after adjusting for CellSearch CTC enumeration and clinical Halabi prognostic risk score.<sup>14</sup> For the Johns Hopkins AR-V7 assay (n = 116, with 28 patients [24%] AR-V7 positive), median PFS with AR therapy for patients with AR-V7–positive versus AR-V7–negative disease was 3.7 versus 7.2 months, respectively (adjusted hazard ratio [HR], 1.7; 95% CI, 1.0 to 2.9). For the Epic Sciences AR-V7 protein assay (n = 107, with 11 patients [10%] AR-V7 positive), median PFS for those with AR-V7–positive versus AR-V7–negative disease was 3.7 versus 6.0 months, respectively (adjusted HR, 2.1; 95% CI, 1.0 to 4.4). In a sensitivity analysis, PFS results were largely unchanged when four patients with PSA-only progression events were considered censored for both Johns Hopkins and Epic Sciences AR-V7 positivity (Appendix Table A2).

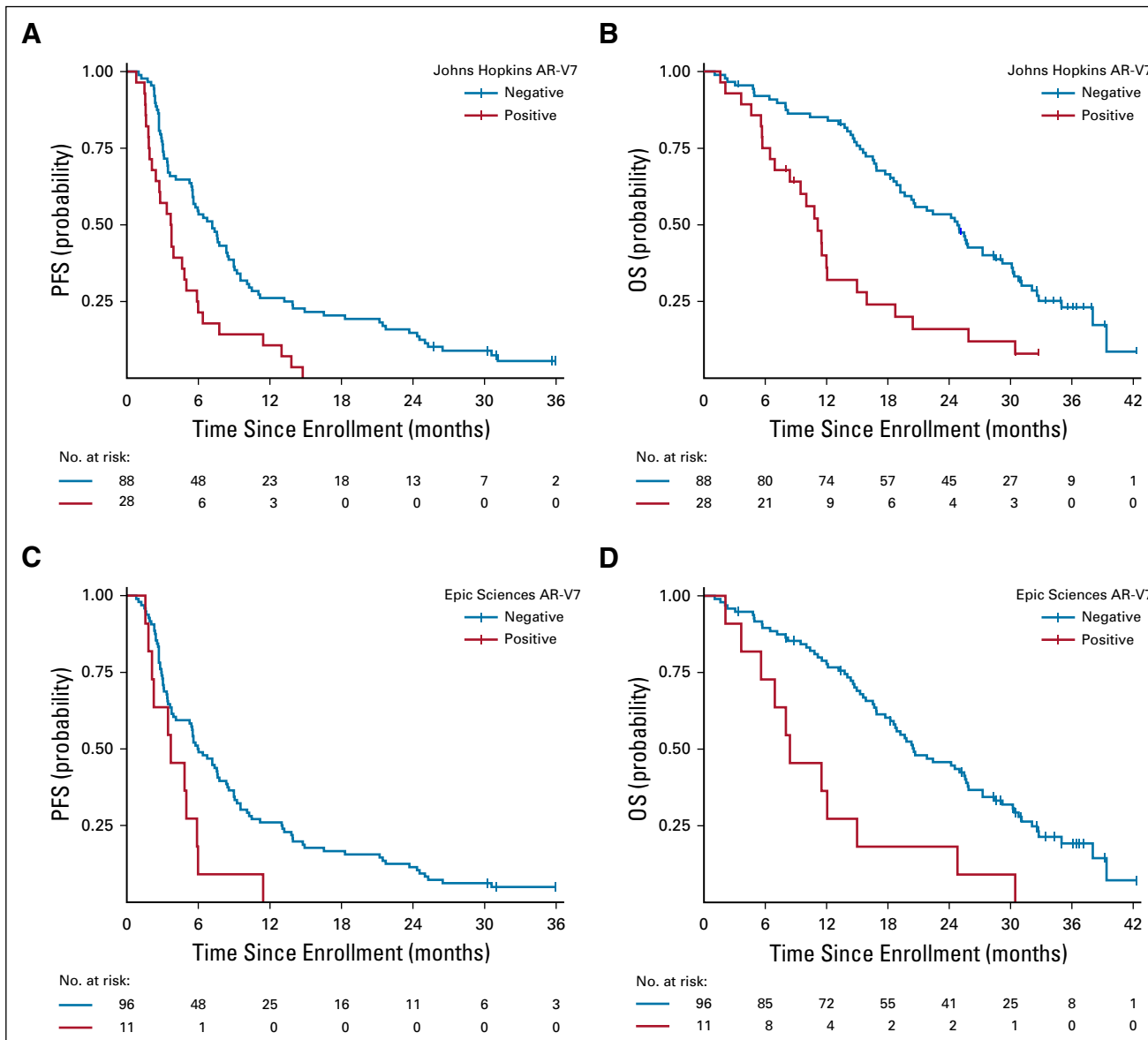
OS with AR-targeted therapy from the time of study registration substantially differed according to CTC AR-V7

status. For the Johns Hopkins AR-V7 RNA assay, median OS for those with AR-V7–positive versus AR-V7–negative disease was 11.1 versus 24.8 months, respectively (adjusted HR, 3.3; 95% CI, 1.7 to 6.3). For the Epic Sciences AR-V7 protein assay, median OS for those with AR-V7–positive versus AR-V7–negative disease was 8.4 versus 20.5 months, respectively (adjusted HR, 3.0; 95% CI, 1.4 to 6.3). Table 2 and Figure 1 summarize the results of PFS and OS by baseline AR-V7 status for each CTC assay.

Confirmed ≥ 50% PSA declines were observed in 11% and 30% of patients with AR-V7–positive and AR-V7–negative disease by Johns Hopkins assay, respectively, and radiographic responses (complete or partial responses by RECIST [version 1.1] criteria) were seen in 7% and 9% of patients, respectively. Confirmed ≥ 50% PSA declines were observed in 0% and 26% of those with AR-V7–positive and AR-V7–negative disease by Epic Sciences nuclear assay, respectively, and radiographic responses (complete or partial responses by RECIST [version 1.1] criteria) were observed in 0% and 6% of patients, respectively (Table 2).

### Repeat AR-V7 and Subsequent Taxane Chemotherapy Efficacy

After progressive disease with abiraterone or enzalutamide, 51 men received subsequent taxane-based chemotherapy (docetaxel, n = 42; cabazitaxel, n = 9), before which CTC AR-V7 status was assessed a second time by the same two independent blinded central laboratories using the two different methods. Using the Epic Sciences nuclear AR-V7 protein assay, eight (16%) of 50 evaluable men had



**FIG 1.** Kaplan-Meier plot of outcomes in men with metastatic castration-resistant prostate cancer treated with abiraterone or enzalutamide in PROPHECY: (A) progression-free survival (PFS) and (B) overall survival (OS) by pretreatment Johns Hopkins circulating tumor cell (CTC) androgen receptor splice variant 7 (AR-V7) detection criteria and (C) PFS and (D) OS by pretreatment Epic Sciences CTC AR-V7 detection criteria. Time (months) is defined from date of registration to event of interest (PFS or OS).

AR-V7–positive disease, and 20 (39%) of 51 evaluable men had AR-V7–positive disease according to the Johns Hopkins modified AdnaTest AR-V7 messenger RNA (mRNA) assay. The percentages of agreement for positivity and negativity were 75% and 67%, respectively.

AR-V7 detection after abiraterone or enzalutamide and before taxane therapy by either assay was associated with poor prognostic features at the time of taxane initiation. Men who had a positive Epic Sciences nuclear detect AR-V7 test result were more likely to have Gleason 8 to 10 disease (88% v 60%), poor functional status (Karnofsky performance score < 90 in 54% v 29%), high lactate

dehydrogenase (LDH; 63% v 33%), high CellSearch CTC count (median, 96.5 v 6.5 cells per 7.5 mL of whole blood; 50% v 33% with ≥ five CTCs), and higher number of bone metastases (> 20 in 75% v 40%) compared with men without AR-V7 CTCs (Table 1). AR-V7 detection was also associated with a shorter time from sample collection to taxane chemotherapy initiation (1.3 v 5.2 months). Similar results were seen in patients with AR-V7–positive disease relative to those with AR-V7–negative disease by Johns Hopkins assay, a greater proportion of whom had multiple adverse prognostic factors, including high CellSearch CTCs, high LDH, anemia, and high burden of bone metastases.

**TABLE 3.** Summary of Clinical Outcomes of Patients Treated With Taxane Chemotherapy According to CTC AR-V7 Status After Abiraterone or Enzalutamide and Before Taxane

Taxane Chemotherapy Outcome <sup>a</sup>	Johns Hopkins AR-V7 Status (n = 51)		Epic Sciences AR-V7 Status (n = 50)	
	Positive (n = 20; 39%)	Negative (n = 31; 61%)	Positive (n = 8; 16%)	Negative (n = 42; 84%)
PFS, months				
Median	4.0	6.1	4.5	5.3
Range	2.7-6.5	4.1-8.8	1.4-7.0	3.7-6.8
HR (95% CI)	1.7 (1.0 to 3.2)		1.5 (0.7 to 3.2)	
Adjusted HR (95% CI) <sup>b</sup>	1.3 (0.6 to 2.8)		1.3 (0.5 to 3.5)	
OS, months				
Median	8.2	12.6	6.8	11.1
Range	5.3-11.1	8.0-16.3	1.8-12.2	8.0-15.2
HR (95% CI)	1.6 (0.9 to 3.1)		1.7 (0.8 to 3.9)	
Adjusted HR (95% CI) <sup>b</sup>	1.0 (0.4 to 2.1)		1.0 (0.3 to 2.8)	
≥ 50% confirmed PSA decline (95% CI), %	36 (0.13 to 0.65)	32 (0.15 to 0.54)	40 (0.05 to 0.85)	33 (0.18 to 0.52)
Radiographic response (CR or PR by RECIST), %	10	20	13	17

Abbreviations: AR-V7, androgen receptor splice variant 7; CR, complete response; CTC, circulating tumor cell; HR, hazard ratio; OS, overall survival; PFS, progression-free survival; PR, partial response; PSA, prostate-specific antigen.

<sup>a</sup>After abiraterone or enzalutamide.

<sup>b</sup>Adjusted for CellSearch CTC enumeration and Halabi risk score (which includes PSA level, alkaline phosphatase, lactate dehydrogenase, opioid analgesic use, Eastern Cooperative Oncology Group performance status, albumin, hemoglobin, and disease metastatic site [visceral, bone, node only]).

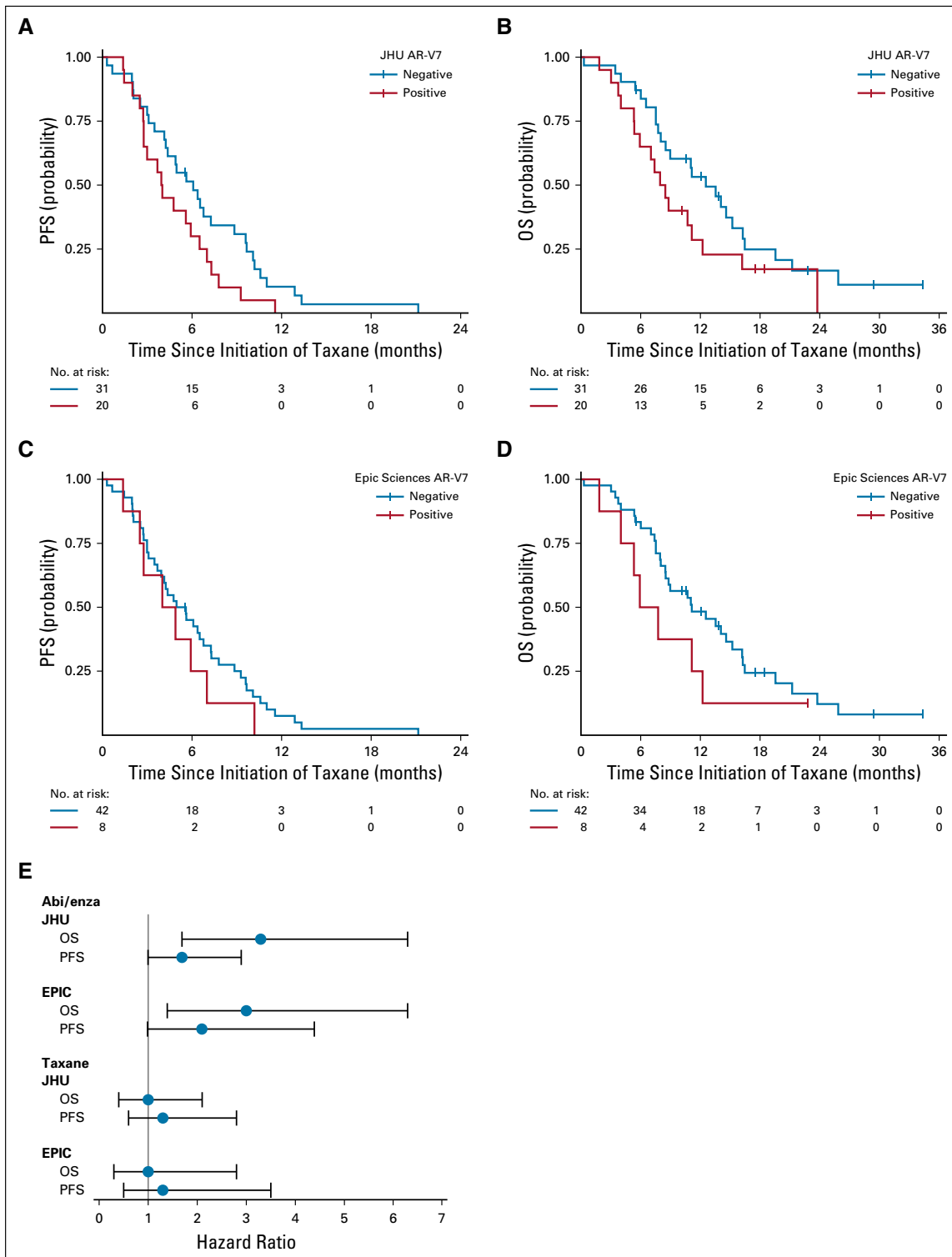
Among men with zero CTCs by the CellSearch assay before taxane therapy (n = 5), one and zero men had AR-V7 detection by the John Hopkins and Epic Sciences assays, respectively.

The primary end point of PFS during taxane chemotherapy was not different in men with AR-V7–positive disease pretreatment compared with men with AR-V7–negative disease pretreatment for both AR-V7 assays, after adjusting for CellSearch CTC enumeration and Halabi clinical risk score (Table 3; Fig 2). For the Johns Hopkins AR-V7 assay (n = 51, with 20 patients [39%] AR-V7 positive), median PFS for those with AR-V7–positive versus AR-V7–negative disease receiving taxane treatment was 4.0 months (95% CI, 2.7 to 6.5 months) versus 6.1 months (95% CI, 4.1 to 8.8 months), respectively (adjusted HR, 1.3; 95% CI, 0.6 to 2.8). For the Epic Sciences AR-V7 protein assay (n = 50, with eight patients [16%] AR-V7 positive), median PFS for those with AR-V7–positive versus AR-V7–negative disease was 4.5 months (95% CI, 1.4 to 7.0 months) versus 5.3 months (95% CI, 3.7 to 6.8 months), respectively (adjusted HR, 1.3; 95% CI, 0.5 to 3.5). Results were unchanged when two patients with PSA-only progression were considered censored (Appendix Table A3).

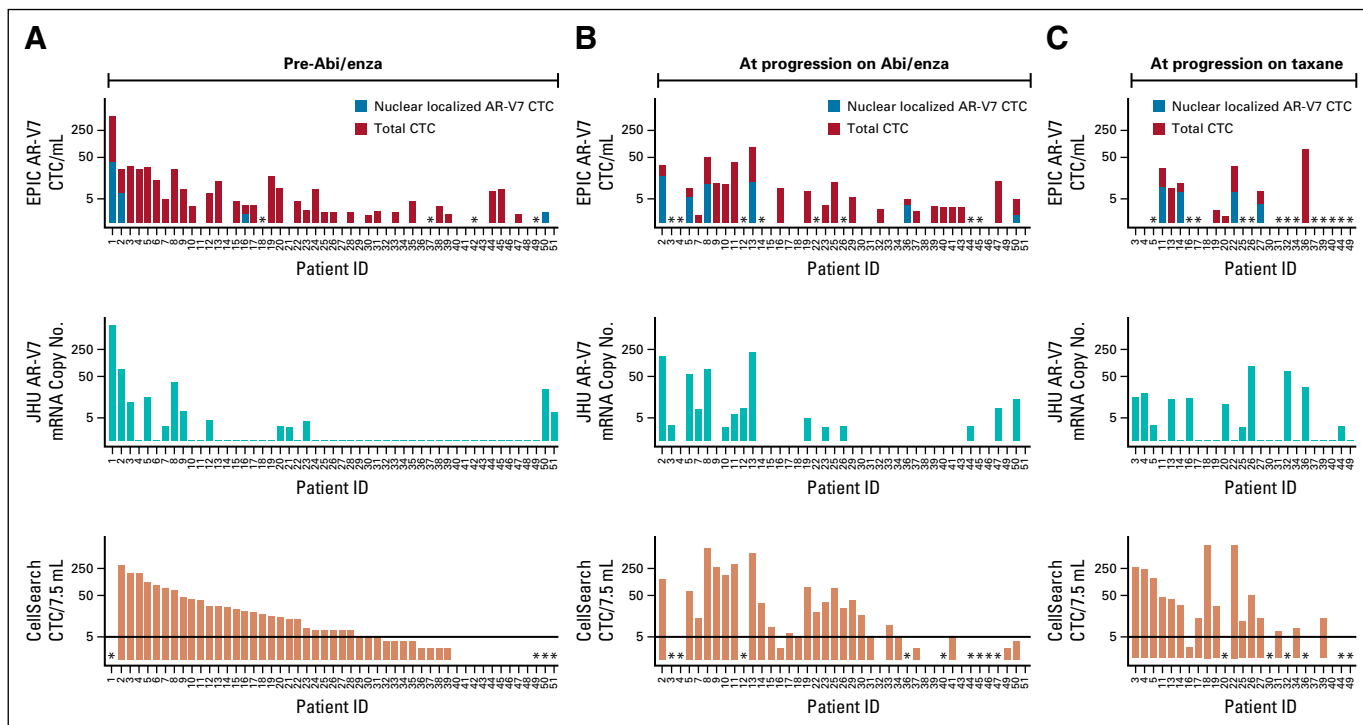
OS did not differ from the start of taxane chemotherapy by AR-V7 status. For the Johns Hopkins AR-V7 RNA assay, median OS for those with AR-V7–positive versus AR-V7–negative disease was 8.2 months (95% CI, 5.3 to

11.1 months) versus 12.6 months (95% CI, 8.0 to 16.3 months), respectively (adjusted HR, 1.0; 95% CI, 0.4 to 2.1). For the Epic Sciences AR-V7 protein assay, median OS for those with AR-V7–positive versus AR-V7–negative disease was 6.8 months (95% CI, 1.8 to 12.2 months) versus 11.1 months (95% CI, 8.0 to 15.2 months), respectively (adjusted HR, 1.0; 95% CI, 0.3 to 2.8). Table 3 and Figure 2 summarize the results of PFS and OS by baseline AR-V7 status for each CTC assay.

Confirmed ≥ 50% PSA responses with taxane therapy were observed in 36% and 32% of patients with AR-V7–positive versus AR-V7–negative disease by Johns Hopkins assay, respectively, and radiographic responses (complete or partial responses by RECIST [version 1.1]) were observed in 10% and 20% of patients, respectively. Confirmed ≥ 50% PSA responses were observed in 40% and 33% of those with AR-V7–positive versus AR-V7–negative disease by Epic Sciences nuclear assay, respectively, and radiographic responses (complete or partial responses by RECIST [version 1.1]) were observed in 13% and 17% of patients, respectively (Appendix Fig A2). Thus, CTC AR-V7 detection by either assay was not associated with differential outcomes with taxane chemotherapy in men with mCRPC after progression during treatment with abiraterone or enzalutamide. Figure 2E summarizes the overall differences in PFS and OS efficacy outcomes after treatment with a taxane for men with AR-V7–positive versus AR-V7–negative



**FIG 2.** Kaplan-Meier plot of outcomes in men with metastatic castration-resistant prostate cancer treated with taxane chemotherapy after progression with abiraterone (abi) or enzalutamide (Enza) in PROPHECY: (A) progression-free survival (PFS) and (B) overall survival (OS) by Johns Hopkins (JHU) circulating tumor cell (CTC) androgen receptor splice variant 7 (AR-V7) detection criteria after abiraterone or enzalutamide but before taxane therapy and (C) PFS and (D) OS by Epic Sciences CTC AR-V7 detection criteria after abiraterone or enzalutamide but before taxane therapy. Time (months) is defined from the date of taxane chemotherapy to event of interest (PFS or OS). (E) Forest plot of hazard ratios for PFS and OS according to treatment and pretreatment AR-V7 status. NOTE. The abiraterone or enzalutamide-treated and taxane-treated men are the same patients who received sequential therapies, and AR-V7 status for taxane prediction was updated and assessed at progression during abiraterone or enzalutamide and pretaxane treatment.



**FIG 3.** Bar plot demonstrating heterogeneity of androgen receptor splice variant 7 (AR-V7) expression in circulating tumor cells (CTCs) by either the Johns Hopkins (JHU) messenger RNA assay or the Epic Sciences nuclear protein assay collected from men in the PROPHECY study at one of three time points: (A) before abiraterone (abi) or enzalutamide (Enza) therapy, (B) at progression during abiraterone or enzalutamide treatment, and (C) at progression during subsequent taxane chemotherapy. CTC enumeration is provided by CellSearch criteria. For the Epic Sciences nuclear protein assay, approximately 1 mL of blood is analyzed, and total and nuclear-localized AR-V7–positive CTCs are expressed per 1 mL of blood. CTC enumeration is provided by CellSearch criteria and expressed as the total count detected per 7.5 mL of blood. (\*) No data.

disease pretreatment who received abiraterone or enzalutamide and pretaxane therapy.

Finally, we examined how AR-V7 changes during the treatment course from before abiraterone or enzalutamide to progression and then after progression during taxane chemotherapy. At baseline, AR-V7 positivity was 10% versus 24% by Epic Sciences and Johns Hopkins assays, respectively, with a majority of men with AR-V7–positive disease by Epic Sciences (nine of 11; 82% positive agreement) also testing positive by Johns Hopkins assay. Johns Hopkins–positive, Epic Sciences–negative results were observed, particularly in those men with low Epic Sciences CTCs or nonnuclear AR-V7 protein expression. Although a majority of CTCs in men with mCRPC were AR-V7 negative, even in those with AR-V7–positive disease, the proportion of AR-V7–positive cells ranged from 1% to 100% (median, 20%; Fig 3). At progression with abiraterone or enzalutamide before taxane, eight (16%) of 51 evaluable men had AR-V7 detection by Epic Sciences criteria and 20 (39%) of 51 had detection by Johns Hopkins criteria, suggesting the induction or selection of CTC AR-V7 expression. At progression during taxane chemotherapy, 33% (four of 12) and 48% (12 of 25) of evaluable men had AR-V7 detected by Epic Sciences and Johns Hopkins criteria, respectively (Appendix Table A4). The percentage

of agreement between Johns Hopkins and Epic Sciences CTC AR-V7 assays at abiraterone or enzalutamide progression was 68%; at progression during taxane chemotherapy, it was 91%. CTC AR-V7 detection by either assay was clearly associated with CTC detection by the CellSearch assay; however, many men with high CellSearch CTC numbers lacked CTC AR-V7 detection by either assay at all time-points (Fig 3).

## DISCUSSION

A critical aspect of clinical utility for any biomarker is whether clinical management and patient outcomes are improved by the results of testing. A majority of biomarkers in oncology fail to undergo independent, prospective testing for their predictive or prognostic significance. Here we show that pretreatment CTC AR-V7 status, independently determined by two analytically and clinically validated blood-based assays, reliably distinguishes the clinically meaningful outcomes of response, PFS, and OS in men with mCRPC who are treated with AR pathway inhibitors. Importantly, these same men with CTC AR-V7–positive disease who experience progression with abiraterone or enzalutamide still derive similar benefits from subsequent taxane chemotherapy, suggesting that AR signaling inhibitor therapy should not be offered to men



who test positive for AR-V7 by either assay. These data further validate previously reported single-institution studies and retrospective multicenter studies, particularly for men with poor-prognosis mCRPC,<sup>10-13,19,25</sup> for whom the timely selection of an effective treatment is critical to maximizing quality of life and survival.

In this prospective multicenter blinded study of AR-V7 detection in CTCs, we demonstrate that men with poor-risk mCRPC who have CTC AR-V7–positive status by mRNA or nuclear protein assay and do not experience benefit from abiraterone or enzalutamide may still benefit from docetaxel or cabazitaxel treatment. These results confirm previously published data showing AR-V7–positive disease remains sensitive to taxane chemotherapy.<sup>11-13</sup> In the second- or third-line mCRPC setting, cabazitaxel improves OS and should be considered a reasonable third-line treatment option,<sup>5</sup> and docetaxel or cabazitaxel are effective treatments after progression with abiraterone or enzalutamide, with PFS estimates of 4 to 6 months in these poor-risk men.<sup>14,24,26,27</sup> The results are particularly important with regard to current practice, given the recent proven benefit and use of these same AR inhibitors in the metastatic hormone-sensitive PC and nonmetastatic CRPC settings, where AR therapy cross-resistance concerns remain when a patient experiences progression to mCRPC during therapy.<sup>28-32</sup>

A majority of men with AR-V7–positive disease by Epic Sciences assay (nine [82%] of 11) were also positive by Johns Hopkins assay; however, 17 (60%) of 28 patients with positive disease by Johns Hopkins assay were negative by Epic Sciences assay at baseline. These differences largely reflect the differential sensitivities of the assays for AR-V7 detection in CTC-based RNA expression versus protein and the distinction between nuclear versus cytoplasmic localization. The percentage of agreement between these assays over three time points ranged from 68% to 91%, and AR-V7 detection was associated with CTC burden. Some patients developed AR-V7–positive disease at progression during abiraterone or enzalutamide treatment, despite being AR-V7 negative at baseline, whereas some men with AR-V7–positive disease converted to AR-V7–negative status at progression, illustrating the need to assess CTC biomarker status at each management decision

point (Fig 3). A limitation of our study includes the lack of random assignment to taxane or AR therapy based on AR-V7 test results, and as such, our results show a prognostic rather than predictive utility. A second limitation is the small sample size, limiting our power to distinguish modest effect sizes for CTC AR-V7 status with taxane outcomes. A key strength of the study is the design, which ensured that clinicians and laboratories were double blinded to the results of CTC AR-V7 assays, and treatment decisions by physicians were independent of the assay results and unbiased. Taken together, knowledge of CTC AR-V7 status combined with standard measures used to assess prognosis can inform the likelihood of benefit from abiraterone or enzalutamide relative to taxane chemotherapy.<sup>13,14,28</sup>

Although a positive pretreatment AR-V7 test was associated strongly with primary and cross-resistance to novel hormonal agents, AR-V7–negative status does not completely explain sensitivity to subsequent AR inhibition, despite a greater probability of response. Recent prospective treatment sequencing studies suggest common cross-resistance of sequential AR-targeted therapies in men with mCRPC.<sup>6</sup> Other resistance mechanisms independent of AR-V7 include lineage plasticity and AR indifference leading to small-cell or neuroendocrine PC, such as CTC-discordant alterations that may promote divergent clonal evolution,<sup>33-36</sup> glucocorticoid receptor activation,<sup>37</sup> AR gain or ligand-binding domain mutations,<sup>38-40</sup> alternative AR variants and genomic structural rearrangements,<sup>41-43</sup> and additional oncogenic pathways like loss of DNA damage repair pathways and TP53 or RB1 tumor suppressors.<sup>44-47</sup> Critical to the development of a precision medicine algorithm for men with mCRPC will be the standardization and clinical validation of assays that capture the range of potential resistance mechanisms in a timely manner to inform treatment decisions.

The PROPHECY data further prospectively support CTC AR-V7 status as one such important clinically useful biomarker for men with poor-prognosis mCRPC who are facing a decision on further AR-targeted or taxane therapy. Larger controlled prospective studies that more comprehensively assess CRPC genotypes, phenotypes, and AR splice variants are needed to confirm the predictive utility of CTC AR-V7 in the context of patient and tumor genomic factors.

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APPENDIX

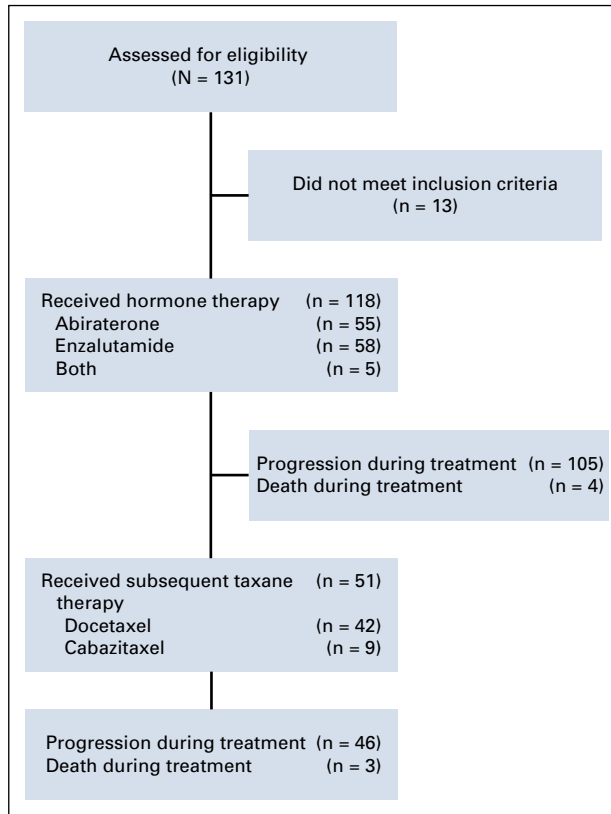


FIG A1. CONSORT diagram.

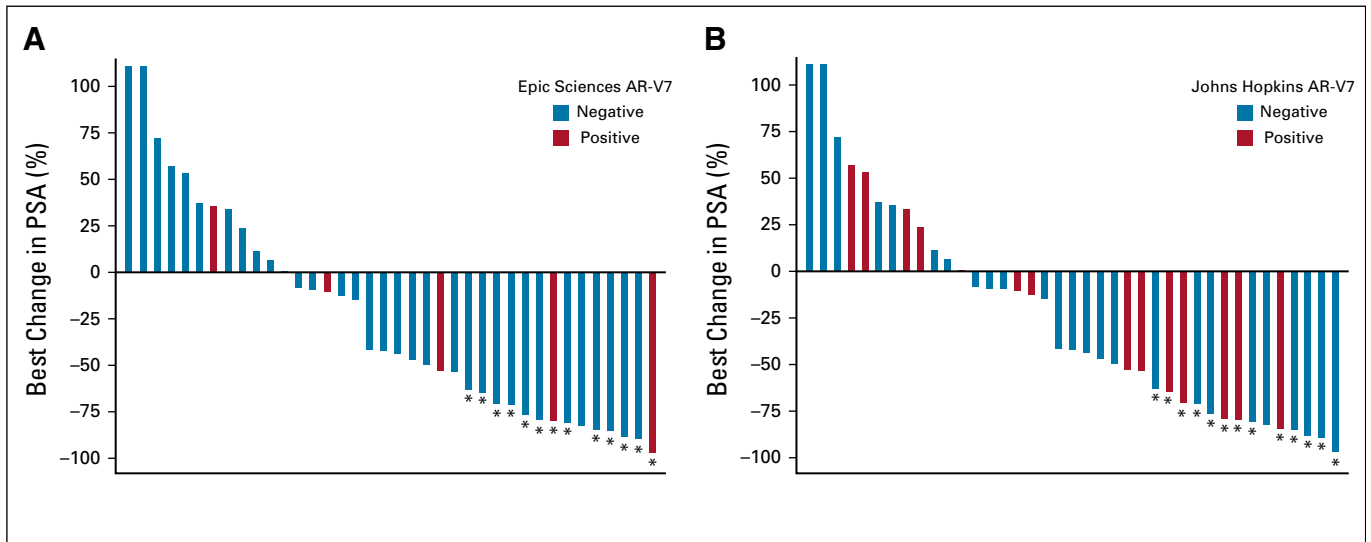


FIG A2. Waterfall plots of best overall prostate-specific antigen (PSA) decline from pretaxane baseline during therapy with docetaxel or cabazitaxel according to (A) Epic Sciences and (B) Johns Hopkins androgen receptor splice variant 7 (AR-V7) status. (\*) Indicates that PSA decline was confirmed with second subsequent value per Prostate Cancer Working Group 2/3 guidelines.

**TABLE A1.** Baseline Pretaxane Characteristics of Patients Enrolled According to Johns Hopkins AR-V7 Status

Baseline Pretaxane Characteristic	Johns Hopkins AR-V7 Status (%)	
	Positive (n = 20)	Negative (n = 31)
Age, years		
Median	72	72
Range	48-82	45-87
Race		
White	95	77
Black	5	13
Other	0	10
Gleason sum 8-10	55	71
Poor-risk feature		
Hemoglobin < 12 g/dL	55	16
Elevated ALP	55	32
Elevated baseline serum LDH	25	19
Presence of liver metastasis	10	13
Presence of clinically significant pain requiring opiates	35	26
CellSearch CTCs, cells per 7.5 mL		
≥ 5	55	23
Median, % (n = 37)	80	3
Range	0-850	0-73
PSADT < 3 months	70	61
Prior docetaxel for mHSPC	25	19
> 20 bone metastases	70	29
PSA, ng/mL		
Median	94	99
Range	60.7-1,569	0.2-1,409
Taxane used		
Docetaxel	75	87
Cabazitaxel	25	13
Time from AR-V7 sample to chemotherapy start, months		
Median	0.9	6.8
Range	0-15	0-31

Abbreviations: ALP, alkaline phosphatase; AR-V7, androgen receptor splice variant 7; CTC, circulating tumor cell; KPS, Karnofsky performance score; LDH, lactate dehydrogenase; mHSPC, metastatic hormone-sensitive prostate cancer; PSA, prostate-specific antigen; PSADT, prostate-specific antigen doubling time.

**TABLE A2.** Summary of Clinical Outcomes of Patients Treated With Abiraterone or Enzalutamide According to Pretreatment AR-V7 Status

Abiraterone or Enzalutamide Outcome <sup>a</sup>	Johns Hopkins AR-V7 Status (n = 116)		Epic Sciences AR-V7 Status (n = 107)	
	Positive (n = 28; 24%)	Negative (n = 88; 76%)	Positive (n = 11; 10%)	Negative (n = 96; 90%)
PFS, months				
Median	3.75	7.36	3.67	6.01
Range	2.10-4.99	5.52-8.54	1.81-5.88	3.91-8.35
HR (95% CI)	2.28 (1.45 to 3.61)		2.09 (1.07 to 4.09)	
Adjusted HR (95% CI) <sup>b</sup>	1.74 (1.0 to 3.04)		1.92 (0.90 to 4.10)	
OS, months				
Median	11.1	24.8	8.4	20.5
Range	6.9-12.1	19.2-28.4	3.6-30.5	17.7-25.6
HR (95% CI)	2.8 (1.7 to 4.5)		3.1 (1.6 to 5.9)	
Adjusted HR (95% CI) <sup>b</sup>	3.3 (1.7 to 6.3)		3.0 (1.4 to 6.3)	
≥ 50% confirmed PSA decline (95% CI), %	11 (2 to 28)	30 (20 to 40)	0 (0 to 28)	26 (18 to 36)
Radiographic response (CR or PR by RECIST), %	7	9	0	6

NOTE. Prostate-specific antigen (PSA) –only progression was censored as sensitivity analysis.

Abbreviations: AR-V7, androgen receptor splice variant 7; CR, complete response; HR, hazard ratio; OS, overall survival; PFS, progression-free survival; PR, partial response.

<sup>a</sup>Before taxane therapy.

<sup>b</sup>Adjusted for CellSearch circulating tumor cell enumeration and Halabi risk score (which includes PSA level, alkaline phosphatase, lactate dehydrogenase, opioid analgesic use, Eastern Cooperative Oncology Group performance status, albumin, hemoglobin, and disease metastatic site [visceral, bone, node only]).



**TABLE A3.** Summary of Clinical Outcomes of Patients Treated With Taxane Chemotherapy According to CTC AR-V7 Status After Abiraterone or Enzalutamide and Before Taxane

Taxane Chemotherapy Outcome <sup>a</sup>	Johns Hopkins AR-V7 Status (n = 51)		Epic Sciences AR-V7 Status (n = 50)	
	Positive (n = 20; 39%)	Negative (n = 31; 61%)	Positive (n = 8; 16%)	Negative (n = 42; 84%)
PFS, months				
Median	4.45	6.08	4.45	5.27
Range	2.73-6.51	4.14-8.84	1.38-7.0	3.68-6.77
HR (95% CI)	1.7 (0.93 to 3.10)		1.51 (0.7 to 3.28)	
Adjusted HR (95% CI) <sup>b</sup>	1.31 (0.62 to 2.77)		1.31 (0.48 to 3.53)	
OS, months				
Median	8.2	12.6	6.8	11.1
Range	5.3-11.1	8.0-16.3	1.8-12.2	8.0-15.2
HR (95% CI)	1.6 (0.9 to 3.1)		1.7 (0.8 to 3.9)	
Adjusted HR (95% CI) <sup>b</sup>	1.0 (0.4 to 2.1)		1.0 (0.3 to 2.8)	
≥ 50% confirmed PSA decline (95% CI), %	36 (0.13 to 0.65)	32 (0.15 to 0.54)	40 (0.05 to 0.85)	33 (0.18 to 0.52)
Radiographic response (CR or PR by RECIST), %	10	20	13	17

NOTE. Prostate-specific antigen (PSA)-only progression was censored as sensitivity analysis.

Abbreviations: AR-V7, androgen receptor splice variant 7; CR, complete response; CTC, circulating tumor cell; HR, hazard ratio; OS, overall survival; PFS, progression-free survival; PR, partial response.

<sup>a</sup>After abiraterone or enzalutamide.

<sup>b</sup>Adjusted for CellSearch CTC enumeration and Halabi risk score (which includes PSA level, alkaline phosphatase, lactate dehydrogenase, opioid analgesic use, Eastern Cooperative Oncology Group performance status, albumin, hemoglobin, and disease metastatic site [visceral, bone, node only]).

**TABLE A4.** Percentage of Agreement in CTC AR-V7 Detection Between Epic Sciences and Johns Hopkins Testing

Testing Time Point	No. (%)		
	Epic Sciences AR-V7 Positive (%) <sup>a</sup>	Johns Hopkins AR-V7 Positive (%) <sup>a</sup>	Overall No. (% agreement)
Baseline	11 of 107 (10)	28 of 116 (24)	85 of 104 (82)
Progression 1 <sup>b</sup>	8 of 50 (16)	20 of 51 (39)	34 of 50 (68)
Progression 2 <sup>c</sup>	4 of 12 (33)	12 of 25 (48)	10 of 11 (91)

Abbreviations: AR-V7, androgen receptor splice variant 7; CTC, circulating tumor cell.

<sup>a</sup>No. positive of the sample size at each time point.

<sup>b</sup>Progression during abiraterone or enzalutamide.

<sup>c</sup>Progression during subsequent docetaxel or cabazitaxel.